#### Positivity bounds on electromagnetic properties of media

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# Not everything goes

• Wilson coefficients are not arbitrary!

• E.g. 
$$\mathscr{L} = -\frac{1}{4}F^2 + \frac{c}{\Lambda^4}F^4 + \dots$$
 then  $c > 0$ .

- $2 \rightarrow 2$  scattering: Causality + Unitarity
- Known as S-matrix bootstrap, EFT positivity bounds

[Adams et. al. (2006)]

# **Breaking Lorentz**

- Eg. Cosmology, Condensed matter, QFT @ finite T or Q
- S-matrix is no longer a suitable observable!
  - High and low energy states are no longer related by boost.
  - The amplitude is no longer analytic!
- Instead: Two point function of a conserved current  $\langle J^\mu J^
  u 
  angle$

[Creminelli et. al. (2022,2023), Hui et. al. (2023)]



We will see this in action for the case of EM response of media

### Linear response

Application an external EM field  $A_{ext}^{\mu}$ 

$$J_{\rm in}^{\mu}(x) = \int \mathrm{d}^4 y \, G_J^{\mu\nu}(x,y) A_{{\rm ext},\nu}(y) \,, \quad \text{Kubo formula}$$

In which the linear response is

 $G_J^{\mu
u}(x,y) = i\theta(x^0 - y^0) \langle [J^{\mu}(x), J^{\nu}(y)] \rangle +$ Contact terms Retarded two point function

This object has two interesting properties: analyticity + positivity

# Causality $\rightarrow$ Analyticity

Linear response is retarded and micro-causal, therefore in Fourier space it is analytic in FLC





### Leontovich

We can parametrize  $\mathbb{C}^4$  as  $p^{\mu} = (\omega, \boldsymbol{q} + \omega \boldsymbol{\xi})$  FLC  $\rightarrow \boldsymbol{\xi} < 1$ 

Maps the region of analyticity to the UHP

We can treat the analytic functions as being single variable and use Cauchy theorem, to derive Leontovich relation

$$\chi$$
 analytic  $\chi(\omega, \boldsymbol{q} + \omega\boldsymbol{\xi}) = \frac{1}{i\pi} \text{PV} \int_{-\infty}^{+\infty} \frac{\mathrm{d}z}{z - \omega} \chi(z, \boldsymbol{q} + z\boldsymbol{\xi}) \overset{\text{Kramers-Kronig}}{\text{with }\xi \text{ dependence}}$ 

This relates the real part and the imaginary part of the function

Important assumption: The arc at infinity is negligible!

[Leontovich (1961), Creminelli et. al. (2022)]

|z|

 $z_R$ 

### Positivity

The imaginary part of the response function characterizes the dissipation of the energy of the external source in the system.

$$\Delta H = \int \frac{\mathrm{d}^4 p}{(2\pi)^4} \omega A_{\mathrm{ext},\mu}(-p) \operatorname{Im} G_J^{\mu\nu}(p) A_{\mathrm{ext},\nu}(p) \,,$$

This does not necessarily have a definite sign! Consider for example a Laser.

For passive medium it is positive. For these systems  $\omega \text{Im}G_J^{\mu\nu}$  is positive definite.

#### Resolving the imaginary part

After some algebra we can see that  $\text{Im}G_J^{\mu\nu}(p) = \frac{1}{2}\int d^4x \, e^{-ip\cdot x} \left\langle [J^{\mu}(x), J^{\nu}(0)] \right\rangle$ 

Inserting the resolution of the identity, with  $\rho \left| n \right\rangle = c_n \left| n \right\rangle$ , gives density matrix

$$V_{\mu}(p)V_{\nu}(p)\operatorname{Im} G_{J}^{\mu\nu}(p) = \frac{1}{2}\sum_{n,m} (2\pi)^{4}\delta(p+p_{n}-p_{m}) |\langle n|J^{\mu}(0)|m\rangle V_{\mu}(p)|^{2}(c_{n}-c_{m})$$

Sign of the imaginary part depends on the state.

A sufficient condition for positive definite  $\omega \text{Im}G_J^{\mu\nu}$  is monotonicity of  $c_n$ e.g. ground state, thermal state

No gap !

#### Action for photon in matter

The system is described by in-in effective action  $\Gamma[A_1, A_2]$ .

The usual in-out effective action is not causal in dissipative systems.

At quadratic order, the effect of matter is encoded in the photon self-energy

$$\Pi^{\mu\nu}(x,y) = i\theta(x^0 - y^0) \langle [J^{\mu}(x), J^{\nu}(y)] \rangle_{1\text{PI}} + \text{ Contact terms}$$

In Fourier space

birefringence

$$\Pi^{\mu\nu} = -\pi_L(\omega, k)p^2 \mathcal{P}_L^{\mu\nu} + \pi_T(\omega, k)k^2 \mathcal{P}_T^{\mu\nu} + \overset{\text{Parity}}{\underset{\text{Violation}}{\text{Violation}}} + \overset{\text{Projection along }k^i \qquad \text{Projection orthogonal to }k^i$$

$$(1 - \sum_{I \to I}^{I \to I} \int_{I \to I}^{I$$

#### Action for photon in matter

These are 
$$arepsilon$$
  $\mu$  in disguise  $arepsilon -1 = g^2 \pi_L$ ,  $1 - rac{1}{\mu} = g^2 \left( \pi_T - rac{\omega^2}{k^2} \pi_L 
ight)$ 

The in-in effective action for photon is

$$\Gamma[A_r, A_a] = \frac{1}{g^2} \int \frac{\mathrm{d}^4 p}{(2\pi)^4} \left[ \varepsilon(\omega, k) \boldsymbol{E}_a(-p) \cdot \boldsymbol{E}_r(p) - \frac{1}{\mu(\omega, k)} \boldsymbol{B}_a(-p) \cdot \boldsymbol{B}_r(p) + \dots \right]$$

The low energy effective action is obtained by expanding the coefficients in powers of  $\omega$  and k. For Insulators: no other relevant degrees of freedom, a local action:  $\varepsilon = \varepsilon(0,0) + \dots$ 

$$\varepsilon(0,0) - 1 = \frac{2g^2}{\pi} \int_0^{+\infty} \frac{\mathrm{d}z}{z} \operatorname{Im} \pi_L(z, z\boldsymbol{\xi}) > (\varepsilon(0,0) - 1) + \xi^2 \left(1 - \frac{1}{\mu(0,0)}\right) = \frac{2g^2\xi^2}{\pi} \int_0^{+\infty} \frac{\mathrm{d}z}{z} \operatorname{Im} \pi_T(z, z\boldsymbol{\xi})$$



## High energy

The assumption is that the infinity arc can be neglected.

No Froissart bound for correlation functions!

A model for the medium at high energies: Free Fermi gas.

The response is known as the Lindhard function: similar to QED at finite chemical potential. Plasma frequency:  $\omega_p^2 = g^2 n/m$ 

High energy limit of the Lindhard function:  $g^2 \pi_L \rightarrow -\frac{\omega_p^2}{\omega_p^2} + \dots, \qquad g^2 \pi_T \rightarrow -\frac{\omega_p^2}{k^2} + \dots,$ 

There is also a relativistic piece that grows logarithmically  $\sim \log(p^2)$  starting from the pair production threshold  $\sim m$ .

Typically,  $\omega_p^2/m^2 \sim 10^{-12}$ , so this is negligible if we close the contour at much smaller energies. [Lindhard (1954)]



## **Higher Derivatives**

Usually, the more interesting bounds appear for higher dimension operators in the EFT.

The situation gets complicated due to dissipation in the system.



All dissipative terms actually contribute

## **Compton scattering**

We can think of a medium constructed out of a gas of (neutral) particles.

In the dilute limit,  $\varepsilon \approx 1 + n\alpha$  and  $\mu \approx 1 + n\beta$  in which  $\alpha$  and  $\beta$  are electric and magnetic polarizability coefficients.

Polarizabilites can be measured by doing a low energy Compton scattering

$$\mathcal{M} \propto \left( \alpha \omega_1 \omega_2 \vec{\epsilon}_1 \cdot \vec{\epsilon}_2 + \beta (\vec{k}_1 \times \vec{\epsilon}_1) \cdot (\vec{k}_2 \times \vec{\epsilon}_2) \right)$$
  
natrix positivity  $\alpha + \beta = \frac{1}{1 - 1} \left[ \frac{\mathrm{d}\omega}{\mathrm{d}\omega} \sigma_{\mathrm{tot}}(\omega) > 0 \right]$ 



Using the S-matrix positivity  $\alpha + \beta = \frac{1}{2\pi^2} \int \frac{d\omega}{\omega^2} \sigma_{tot}(\omega) > 0$ 

This is consistent with 
$$n(\alpha + \beta) \approx \varepsilon - \frac{1}{\mu} = \frac{2g^2}{\pi} \int \frac{dz}{z} \operatorname{Im} \pi_T(z, z) > 0$$

## Gravity

The analog of polarizability coefficient for gravity is know as tidal Love number.

Quantifies the response of a compact object to an external gravitational potential: electric and magnetic type.

They appear as coefficients in the low energy expansion of the two point function of the stress-tensor.

At least when gravity can be treated linearly, e.g. small compactness, the above analysis should apply:  $\lambda_E > 0$ , and  $\lambda_E + \lambda_B > 0$  (And higher multipoles).

One can think of this as positivity bound for the point particle effective field theory

### Summary

We studied bounds on the low energy response of a medium from causality and positivity.

- More general class of media, e.g. super-conductors
- Transport coefficients in a fluid
- Källén–Lehmann without Lorentz
- Cosmology

Thank you