

Ghostly interactions in classical field theory: From scalar models to black-hole binaries



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April 11, 2025: CosmoGrav 2025 CEICO, FZU, Prague **Motivation: Gravitational Effective Field Theories**

- Part I: Ghosts in classical field theory with Cédric Deffayet, Shinji Mukhoyama, and Alexander Vikman
- Part II: Nonlinear evolution & black hole binaries with Pau Figueras and Áron Kovács with Hyun Lim

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Motivation: Gravitational Effective Field Theories

The Effective Field Theory Framework ...

Assuming a given

- (i) IR field content
- (ii) IR symmetries
- (iii) expansion scale/scheme

we expand the effective action in all possible operators.

Within the **regime of validity** of the EFT the effect of any unknown UV physics is captured in the values of the EFT coefficients.

metric *

Lorentz invariance

derivative / curvature

*hidden additional gravitational degrees of freedom

... systematically captures modifications of GR.

Joint derivative/curvature expansion ...

 $\mathcal{L}_{\mathsf{EFT}}^{(1)} = \mathsf{M}_{\mathsf{PI}}^2 \, \mathsf{R}$

 $\mathcal{L}_{\mathsf{EFT}}^{(2)} = \left[\alpha_0 \mathsf{R}_{\mathsf{ab}} \mathsf{R}^{\mathsf{ab}} - \beta_0 \, \mathsf{R}^2 \right]$

After reduction via (i) index symmetries (ii) geometric identities (iii) 4D-specific identities (e.g. Gauss-Bonnet) see Fulling CQG 9 (1992); Martin-Garcia, Yllanes, Portugal, CPC 179 (2008)

$$\begin{aligned} \mathcal{L}_{\mathsf{EFT}}^{(3)} &= \frac{1}{\mathsf{M}_{\mathsf{PI}}^2} \Big[\alpha_1 \, \mathsf{R}^{\mathsf{ab}} \Box \, \mathsf{R}_{\mathsf{ab}} - \beta_1 \, \mathsf{R} \Box \, \mathsf{R} + \gamma_3 \, \mathsf{C}_{\mathsf{ab}}{}^{\mathsf{cd}} \mathsf{C}_{\mathsf{cd}}{}^{\mathsf{ef}} \mathsf{C}_{\mathsf{ef}}{}^{\mathsf{ab}} \\ &+ \delta_{3,1} \, \mathsf{C}_{\mathsf{abcd}} \mathsf{C}^{\mathsf{abcd}} \mathsf{R} + \delta_{3,2} \, \mathsf{C}_{\mathsf{abcd}} \mathsf{R}^{\mathsf{ac}} \mathsf{R}^{\mathsf{bd}} + \delta_{3,3} \, \mathsf{R}_{\mathsf{a}}^{\mathsf{b}} \, \mathsf{R}_{\mathsf{c}}^{\mathsf{c}} + \delta_{3,4} \, \mathsf{R}_{\mathsf{ab}} \mathsf{R}^{\mathsf{ab}} \mathsf{R} + \delta_{3,5} \, \mathsf{R}^3 \Big] \end{aligned}$$

$$\mathcal{L}_{\mathsf{EFT}}^{(4)} = \frac{1}{\mathsf{M}_{\mathsf{PI}}^4} \Big[\alpha_2 \, \mathsf{R}^{\mathsf{ab}} \, \Box^2 \, \mathsf{R}_{\mathsf{ab}} - \beta_2 \, \mathsf{R} \, \Box^2 \, \mathsf{R} + \gamma_{4,1} \, (\mathsf{C}_{\mathsf{abcd}} \, \mathsf{C}^{\mathsf{abcd}})^2 + \gamma_{4,2} (\mathsf{C}_{\mathsf{abcd}} \, {}^*\!\mathsf{C}^{\mathsf{abcd}})^2 + \dots \Big]$$

.. before field redefinitions.

Joint derivative/curvature expansion ...

 $\mathcal{L}_{\mathsf{EFT}}^{(1)} = \mathsf{M}_{\mathsf{PI}}^2\,\mathsf{R}$

 $\mathcal{L}_{\mathsf{EFT}}^{(2)} = \left| \alpha_0 \mathsf{R}_{\mathsf{ab}} \mathsf{R}^{\mathsf{ab}} - \beta_0 \mathsf{R}^2 \right|$

order-by-order field redefinitions of the form $g_{ab} \rightarrow g_{ab} + c_1 g_{ab} X + c_2 X_{ab}$ can remove any term containing Ricci variables

$$\mathcal{L}_{\mathsf{EFT}}^{(3)} = \frac{1}{\mathsf{M}_{\mathsf{PI}}^2} \left[\alpha_1 \, \mathsf{R}^{\mathsf{ab}} \square \, \mathsf{R}_{\mathsf{ab}} - \beta_1 \, \mathsf{R} \square \, \mathsf{R} + \gamma_3 \, \mathsf{C}_{\mathsf{ab}}^{\ \mathsf{cd}} \mathsf{C}_{\mathsf{cd}}^{\ \mathsf{ef}} \mathsf{C}_{\mathsf{ef}}^{\ \mathsf{ab}} \right] \overset{\mathsf{Goroff, Sagnotti, Nucl. Phys. B 266 (1986)}{\mathsf{Bueno, Cano, PRD 94 (2016) 10}} \\ + \delta_{3,1} \, \mathsf{C}_{\mathsf{abcd}} \, \mathsf{C}^{\mathsf{abcd}} \, \mathsf{R} + \delta_{3,2} \, \mathsf{C}_{\mathsf{abcd}} \, \mathsf{R}^{\mathsf{ab}} \mathsf{C}_{\mathsf{cd}}^{\ \mathsf{ef}} \mathsf{C}_{\mathsf{ef}}^{\ \mathsf{ab}} \\ + \delta_{3,3} \, \mathsf{R}_{\mathsf{b}}^{\mathsf{b}} \, \mathsf{R}_{\mathsf{c}}^{\mathsf{a}} + \delta_{3,4} \, \mathsf{R}_{\mathsf{ab}} \mathsf{R}^{\mathsf{ab}} \mathsf{R} + \delta_{3,5} \, \mathsf{R}^{\mathsf{3}} \right]$$

$$\mathcal{L}_{\mathsf{EFT}}^{(4)} = \frac{1}{\mathsf{M}_{\mathsf{Pl}}^4} \left[\alpha_2 \, \mathsf{R}^{\mathsf{ab}} \, \Box^2 \, \mathsf{R}_{\mathsf{ab}} - \beta_2 \, \mathsf{R} \, \Box^2 \, \mathsf{R} + \frac{\gamma_{4,1} \, (\mathsf{C}_{\mathsf{abcd}} \, \mathsf{C}^{\mathsf{abcd}})^2 + \gamma_{4,2} (\mathsf{C}_{\mathsf{abcd}} \, {}^*\!\mathsf{C}^{\mathsf{abcd}})^2 + \dots \right]$$

Endlich, Gorbenko, Huang, Senatore, JHEP 09, 122 (2017)

... after field redefinitions.

Joint derivative/curvature expansion ...

 $\mathcal{L}_{\mathsf{EFT}}^{(1)} = \mathsf{M}_{\mathsf{PI}}^2\,\mathsf{R}$

 $\langle \mathbf{a} \rangle$

order-by-order field redefinitions of the form

$$g_{ab} \rightarrow g_{ab} + c_1 g_{ab} X + c_2 X_{ab}$$

can remove any term containing Ricci variables

$$\mathcal{L}_{\mathsf{EFT}}^{(2)} = \left[\alpha_0 \mathsf{R}_{\mathsf{ab}} \mathsf{R}^{\mathsf{ab}} - \beta_0 \, \mathsf{R}^2 \right]$$

$$\mathcal{L}_{\mathsf{EFT}}^{(3)} = \frac{1}{\mathsf{M}_{\mathsf{PI}}^2} \begin{bmatrix} \alpha_1 \, \mathsf{R}^{\mathsf{ab}} \Box \, \mathsf{R}_{\mathsf{ab}} - \beta_1 \, \mathsf{R} \Box \, \mathsf{R} \\ + \delta_{3,1} \, \mathsf{C}_{\mathsf{abcd}} \mathsf{C}^{\mathsf{abcd}} \mathsf{R} + \delta_{3,2} \, \mathsf{C}_{\mathsf{abcd}} \mathsf{R}^{\mathsf{ac}} \mathsf{R}^{\mathsf{bd}} + \delta_{3,3} \, \mathsf{R}_{\mathsf{a}}^{\mathsf{b}} \, \mathsf{R}_{\mathsf{b}}^{\mathsf{c}} \, \mathsf{R}_{\mathsf{c}}^{\mathsf{a}} + \delta_{3,4} \, \mathsf{R}_{\mathsf{ab}} \mathsf{R}^{\mathsf{ab}} \mathsf{R} + \delta_{3,5} \, \mathsf{R}^3 \end{bmatrix}$$
Goroff, Sagnotti, Nucl.Phys.B 266 (1986)
Bueno, Cano, PRD 94 (2016) 10
de Rham, Francfort, Zhang, PRD 102 (2020) 2
+ \delta_{3,1} \, \mathsf{C}_{\mathsf{abcd}} \mathsf{C}^{\mathsf{abcd}} \mathsf{R} + \delta_{3,2} \, \mathsf{C}_{\mathsf{abcd}} \mathsf{R}^{\mathsf{ac}} \mathsf{R}^{\mathsf{bd}} + \delta_{3,3} \, \mathsf{R}_{\mathsf{a}}^{\mathsf{b}} \, \mathsf{R}_{\mathsf{b}}^{\mathsf{c}} \, \mathsf{R}_{\mathsf{c}}^{\mathsf{a}} + \delta_{3,4} \, \mathsf{R}_{\mathsf{ab}} \mathsf{R}^{\mathsf{ab}} \mathsf{R} + \delta_{3,5} \, \mathsf{R}^3 \end{bmatrix}

$$\mathcal{L}_{\mathsf{EFT}}^{(4)} = \frac{1}{\mathsf{M}_{\mathsf{PI}}^4} \left[\alpha_2 \, \mathsf{R}^{\mathsf{ab}} \, \Box^2 \, \mathsf{R}_{\mathsf{ab}} - \beta_2 \, \mathsf{R} \, \Box^2 \, \mathsf{R} \right] + \frac{\gamma_{4,1} \, (\mathsf{C}_{\mathsf{abcd}} \, \mathsf{C}^{\mathsf{abcd}})^2 + \gamma_{4,2} (\mathsf{C}_{\mathsf{abcd}} \, {}^*\!\mathsf{C}^{\mathsf{abcd}})^2 + \dots \right]$$

Endlich, Gorbenko, Huang, Senatore, JHEP 09, 122 (2017)

... after field redefinitions.



 $\chi(x,y)$ at time : t/L = 0.0



Part I: Ghosts in classical field theory

with C. Deffayet, S. Mukohyama and A. Vikman, to appear

For point-particle models, see Cédric's talk as well as Deffayet, Mukohyama, Vikman, PRL 128 (2022) 4 Deffayet, Held, Mukohyama, Vikman, JCAP 11 (2023) 031 The **Hamiltonian** of all higher-derivative nondegenerate classical point-particle theories is **unbounded from above and below.**

Ostrogradski 1857

Point-particle models can be stable if the "long-range" potential is **dominated by stable self-interactions.** Deffayet, Held, Mukohyama, Vikman, JCAP 11 (2023) 031

> **Classical field theories** do not decay instantaneously and can **exhibit longlived motion**.

Deffayet, Held, Mukohyama, Vikman, to appear

All non-degenerate higher-derivative classical point-particle theories exhibit runaway solutions.

Ok, but field theories will still decay instantaneously because of an infinite phase-space volume at high energy.

Ok, but **quantised field theories** will **decay instantaneously**, right?

Part I: Ghosts in classical field theory

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The **Hamiltonian** of all higher-derivative nondegenerate classical point-particle theories is **unbounded from above and below.**

Ostrogradski 1857

The **Helmhotz-Lagrangian** (equivalent 2nd-order Lagrangian) of non-degenerate higher-derivative theories exhibits **opposite-sign kinetic terms.**

Urries, Julve J. Phys. A 31 (1998)

• e.g., Lagrangian

 $\mathcal{L} = -\frac{1}{2}\phi \left[\Box + m_{\phi}^{2}\right]\phi - \frac{\sigma}{2}\chi \left[\Box + m_{\chi}^{2}\right]\chi - V(\phi, \chi)$ $\sigma = + 1: \text{ non-ghostly}$ $\sigma = - 1: \text{ ghostly}$

with field equations

$$\begin{bmatrix} \Box + \mathbf{m}_{\phi}^{2} \end{bmatrix} \phi = -\partial_{\phi} \mathsf{V}$$
$$\begin{bmatrix} \Box + \mathbf{m}_{\chi}^{2} \end{bmatrix} \chi = -\sigma \, \partial_{\chi} \mathsf{V}$$

(non-)ghostly nature ($\sigma = \pm 1$) does not affect the principal part

... do not obstruct from (local) well-posedness.

The **Hamiltonian** of all higher-derivative nondegenerate classical point-particle theories is **unbounded from above and below.**

Ostrogradski 1857

The Helmhotz-Lagrangian (equivalent 2nd-order Lagrangian) of non-degenerate higher-derivative theories exhibits opposite-sign kinetic terms.

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• with field equations

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$$\begin{bmatrix} \Box + \mathbf{m}_{\chi}^{2} \end{bmatrix} \chi = -\sigma \, \partial_{\chi} \mathsf{V}$$

(non-)ghostly nature ($\sigma = \pm 1$) does not affect the principal part

... cannot lead to instantaneous classical decay.

Numerical solution ...



- using DifferentialEquations.jl https://github.com/aaron-hd/ghostlyPDE_1D
- julia-based PDE solver Rackauckas, Nie, JORS 5 (2017)



- 4th order finite differencing (FD) in space
- 4th order Runge Kutta (RK4) timestep
- confirm convergence of the Hamiltonian



Numerical solution ...



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- 4th order finite differencing (FD) in space
- 4th order Runge Kutta (RK4) timestep
- confirm convergence of the Hamiltonian
- automatic self-convergence tests



... converges to the continuum field theory.

Unquenched instability ...

Deffayet, Held, Mukohyama, Vikman, to appear

 $\mathsf{V} = \lambda_{\mathsf{nm}} \, \phi^{\mathsf{n}} \chi^{\mathsf{m}}$



Unquenched instability ...

Deffayet, Held, Mukohyama, Vikman, to appear

 $\mathsf{V} = \lambda_{\mathsf{nm}} \, \phi^{\mathsf{n}} \chi^{\mathsf{m}}$



$$\Box \phi = -\left(\mathsf{m}_{\phi}^{2} + 2\,\lambda_{22}\,\chi^{2}\right)\phi \equiv -\mathsf{m}_{\phi,\text{eff}}^{2}\phi$$
$$\Box \chi = -\left(\mathsf{m}_{\chi}^{2} + 2\,\sigma\,\lambda_{22}\,\phi^{2}\right)\chi \equiv -\mathsf{m}_{\chi,\text{eff}}^{2}\chi$$

- no ghost: both effective masses positive
 - with ghost: one effective mass positive one effective mass negative

... can be benign.

Higher frequencies ...

Deffayet, Held, Mukohyama, Vikman, to appear

 $\mathsf{V} = \lambda_{\mathsf{nm}} \, \phi^{\mathsf{n}} \chi^{\mathsf{m}}$

$$\partial_{t}^{2}\phi = -\left(k_{\phi}^{2} + m_{\phi}^{2} + \lambda \chi^{2}\right)\phi$$
$$\partial_{t}^{2}\chi = -\left(k_{\chi}^{2} + m_{\chi}^{2} + \sigma \lambda \phi^{2}\right)\chi$$

- plane-wave approximation
- high frequency dominates potential, both for the non-ghost and for the ghost case



... are more stable, not less stable.

Increasingly longlived for:

Deffayet, Held, Mukohyama, Vikman, JCAP 11 (2023) 031

$$V_{LV}^{(4)}(x,y) = \left(\frac{m_{\phi}^2}{2} - \frac{m_{\chi}^2}{2}\right)(\phi^2 - \chi^2)^2 + C_4(\phi^4 - \chi^4) + C_4(\phi^2 - \chi^2)^3$$

- lower initial amplitude
- higher initial frequency

initial data parameters

- weaker ghostly coupling
- larger masses

Deffayet, Held, Mukohyama, Vikman, JCAP 11 (2023) 031

$$V_{LV}^{(4)}(x,y) = \left(\frac{m_{\phi}^2}{2} - \frac{m_{\chi}^2}{2}\right)(\phi^2 - \chi^2)^2 + \mathcal{C}_4(\phi^4 - \chi^4) + \mathcal{C}_4(\phi^2 - \chi^2)^3$$



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Deffayet, Held, Mukohyama, Vikman, JCAP 11 (2023) 031 $V_{LV}^{(4)}(x,y) = \left(\frac{m_{\phi}^2}{2} - \frac{m_{\chi}^2}{2}\right)(\phi^2 - \chi^2)^2 + C_4(\phi^4 - \chi^4) + C_4(\phi^2 - \chi^2)^3$

(1+1)D Simulation converges to the solution of the continuum field theory

- 4th order FD •
- •
- verified at all times

Model parameters setup in trivial vacuum $m_{\phi}^2 \equiv m_{\chi}^2 \equiv m^2$

Various initial data families

model parameters

4th order RK4 timestep self-convergence rate

Increasingly longlived for:

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initial data parameters

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Deffayet, Held, Mukohyama, Vikman, JCAP 11 (2023) 031

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Increasingly longlived for:

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Deffayet, Held, Mukohyama, Vikman, JCAP 11 (2023) 031

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Deffayet, Held, Mukohyama, Vikman, JCAP 11 (2023) 031

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Deffayet, Held, Mukohyama, Vikman, JCAP 11 (2023) 031

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Increasingly longlived for:

Deffayet, Held, Mukohyama, Vikman, JCAP 11 (2023) 031

$$V_{LV}^{(4)}(x,y) = \left(\frac{m_{\phi}^2}{2} - \frac{m_{\chi}^2}{2}\right)(\phi^2 - \chi^2)^2 + C_4(\phi^4 - \chi^4) + C_4(\phi^2 - \chi^2)^3$$

- lower initial amplitude
- higher initial frequency

initial data parameters

- weaker ghostly coupling
- larger masses

model parameters

... admit for (arbitrarily) long-lived motion.

$\mathsf{V} = \lambda \, \phi^2 \chi^2$

(1+1)D Simulation converges to the solution of the continuum field theory

- 4th order FD
- 4th order RK4 timestep
- self-convergence rate verified at all times

Model parameters

- λ = 1;
- m_o = 0;
- $m_x = 3$; $m_x = 10$; $m_x = \infty$ (from left to right) Plane-wave initial data



Deffayet, Held, Mukohyama, Vikman, to appear (see also JCAP 11 (2023) 031)

... can effectively decouple if sufficiently heavy.



Part II: Nonlinear evolution & black hole binaries

Noakes, JMP 24, 1846 (1983); Figueras, Held, Kovacs, 2407.08775 Held, Lim, PRD 104 (2021) 8 Held, Lim, PRD 108 (2023) 10 Held, Lim, 2503.13428

A well-posed initial value problem (IVP) ...



((An initial value problem is well-posed if a solution

- exists
- is unique
- and depends continuously on the initial data

... for General Relativity

Formal proof of existence and uniqueness
Yvonne Choquet-Bruhat '52(3+1) numerical evolution
Pretorius '05; BSSN '87-'99; Sarbach et.Al '02-'04

... and for Quadratic Gravity

Noakes, JMP 24, 1846 (1983) Held, Lim, PRD 104 (2021) 8 Held, Lim, PRD 108 (2023) 10; Cayuso '23; East, Siemonsen '23

... and for the EFT (at any order)

Figueras, Held, Kovacs, 2407.08775

General Relativity (in harmonic gauge) ...

- Gauge potential: $F^a \equiv -g^{cd}\Gamma^a_{cd}$
- Ricci curvature: $R_{ab} \sim \Box g_{ab} + g_{c(a} \nabla_{b)} F^{c} + \mathcal{O}(g, \partial g) = 0$
- In harmonic gauge, i.e., $F^a = 0$ the vacuum Einstein equations, i.e., $R_{ab} = 0$ are of wave-like form.

For constraint propagation see Choquet-Bruhat '52

... is already in wave-like form.

Quadratic Gravity ... Held, Lim, PRD 104 (2021) 8 • recall $\mathcal{L} = M_{PI}^2 \left| R + \frac{1}{12m_0^2} R^2 + \frac{1}{4m_2^2} C_{abcd} C^{abcd} \right|$ Stelle, PRD 16 (1977) 953-969 Noakes, JMP 24, 1846 (1983) Held, Lim, PRD 104 (2021) 8 2ndorder $\Box g_{ab} \sim R_{ab} \equiv S_{ab} + \frac{1}{4}g_{ab}R$ massless spin-2 (graviton) variables $\Box R = m_0^2 R$ vanishes for massive spin-0 equal masses: (scalar) $\Box \, S_{ab} = -\frac{1}{3} \left(\frac{m_2^2}{m_0^2} - 1 \right) \left(\nabla_a \nabla_b R \right) - 2 \, S^{cd} C_{acbd} + \mathcal{O}_{lower \; order}$ massive spin-2 (ghost)

• For equal masses, the 2nd-order field equations of Quadratic Gravity are of wave-like form.

Quadratic Gravity ...

Held, Lim, PRD 104 (2021) 8

• recall
$$\mathcal{L} = M_{Pl}^2 \left[R + \frac{1}{12m_0^2} R^2 + \frac{1}{4m_2^2} C_{abcd} C^{abcd} \right]$$

Stelle, PRD 16 (1977) 953-969
Noakes, JMP 24, 1846 (1983)
Held, Lim, PRD 104 (2021) 8
 $Massless spin-2$
(graviton)
 $\square R^{2|0}_{=} m_0^2 R$
 $\square S_{ab} = -\frac{1}{3} \left(\frac{m_2^2}{m_0^2} - 1 \right) (\nabla_a \nabla_b R) - 2 S^{cd} C_{acbd} + \mathcal{O}_{lower order}$
massive spin-2
(ghost)

- For equal masses, the 2nd-order field equations of Quadratic Gravity are of wave-like form.
- For unequal masses, one can still find suitable Leray weights.

... admits wave-like 2nd order field equations.

Cubic Gravity (after suitable field redefinitions) ...

Figueras, Held, Kovacs, 2407.08775

• recall
$$\mathcal{L}_{\mathsf{EFT}}^{(3)} = \frac{1}{\mathsf{M}_{\mathsf{Pl}}^2} \Big[\alpha_1 \, \mathsf{R}^{\mathsf{ab}} \Box \, \mathsf{R}_{\mathsf{ab}} - \beta_1 \, \mathsf{R} \Box \, \mathsf{R} + \gamma_3 \, \mathsf{C}_{\mathsf{ab}}^{\mathsf{cd}} \mathsf{C}_{\mathsf{cd}}^{\mathsf{ef}} \mathsf{C}_{\mathsf{ef}}^{\mathsf{ab}} \Big]$$

$$\begin{array}{l} \begin{array}{l} \mbox{order}\\ \mbox{reduced}\\ 2^{nd}\mbox{-} \mbox{order}\\ \mbox{field}\\ \mbox{equations} \end{array} & \square g_{ab} \sim \mathbb{R}_{ab} \stackrel{2}{\equiv} \mathbb{S}_{ab} + \frac{1}{4} g_{ab} \mathbb{R} \\ \square \mathbb{C}_{abde} \stackrel{3}{\equiv} \mathbb{O}_{abde}^{\mathsf{C}}(\partial \mathbb{C}, \ \partial \partial \mathbb{S}, \ \partial \partial \mathbb{R}) \\ \square \mathbb{R} \stackrel{2}{\equiv} \mathbb{R}^{(1)} \\ \square \mathbb{S}_{ab} \stackrel{2}{\equiv} \mathbb{S}_{ab}^{(1)} \\ \square \mathbb{S}_{ab} \stackrel{2}{\equiv} \mathbb{S}_{ab}^{(1)} \\ \square \mathbb{S}_{ab} \stackrel{1}{\equiv} \mathbb{O}^{\mathbb{R}}(\partial \mathbb{C}, \ \partial \partial \mathbb{S}, \ \partial \partial \mathbb{R}) \\ \square \mathbb{R}^{(1)} \stackrel{3}{\equiv} \stackrel{1}{=} \frac{\mathbb{O}^{\mathbb{R}}(\partial \mathbb{C}, \ \partial \partial \mathbb{S}, \ \partial \partial \mathbb{R})} \\ \square \mathbb{R}^{(1)} \stackrel{3}{\equiv} \stackrel{1}{\equiv} \frac{\mathbb{O}^{\mathbb{R}}(\partial \mathbb{C}, \ \partial \partial \mathbb{S}, \ \partial \partial \mathbb{R})} \\ \square \mathbb{S}_{ab}^{(1)} \stackrel{1}{\equiv} \left(1 - \frac{2\beta_{1}}{\alpha_{1}}\right) \left(\frac{1}{4} \mathbb{g}_{ab} \square - \nabla_{a} \nabla_{b}\right) \mathbb{R}^{(1)} \\ + \mathcal{O}_{ab}^{\mathbb{S}}(\partial \mathbb{C}, \ \partial \partial \mathbb{S}, \ \partial \partial \mathbb{R}) \end{array}$$

... admits wave-like 2nd order field equations.

Higher order EFT (after suitable field redefinitions) ...

n

Figueras, Held, Kovacs, 2407,08775

• Inductively, this extends to
$$\mathcal{L}_{reg}^{(n)} = \sum_{k=0}^{n} \left[\alpha_k R^{ab} \Box^k R_{ab} - \beta_k R \Box^k R \right]$$
 with $\alpha_n = 2\beta_n$

$$\begin{array}{l} \label{eq:scalar} \mbox{order}\\ \mbox{reduced}\\ 2^{nd}\mbox{-} \mbox{order}\\ \mbox{field}\\ \mbox{equations} \end{array} \stackrel{\mbox{l}}{=} S_{ab} + \frac{1}{4} g_{ab} R \\ \mbox{l}\\ \mbox$$

... admits wave-like 2nd order field equations.

775

Well-posed initial value formulation ...

$$\mathcal{L}_{EFT}^{(1)} = \mathsf{M}_{\mathsf{PI}}^2 \mathsf{R}$$
 order-by-order field redefinitions of the form

$$g_{ab} \to g_{ab} + c_1 g_{ab} X + c_2 X_{ab}$$

$$can remove any term containing Ricci variables$$

$$\mathcal{L}_{EFT}^{(3)} = \frac{1}{\mathsf{M}_{\mathsf{PI}}^2} \left[\alpha_1 \mathsf{R}^{ab} \Box \mathsf{R}_{ab} - \beta_1 \mathsf{R} \Box \mathsf{R} + \gamma_3 \mathsf{C}_{ab}^{\ cd} \mathsf{C}_{cd}^{\ ef} \mathsf{C}_{ef}^{\ ab} \right]$$

$$\mathcal{G}_{ef}^{\ order-by-order field redefinitions of the form
$$g_{ab} \to g_{ab} + c_1 g_{ab} X + c_2 X_{ab}$$

$$can remove any term containing Ricci variables$$$$

$$M_{Pl}^{2} = \delta_{3,1} C_{abcd} C^{abcd} R + \delta_{3,2} C_{abcd} R^{ac} R^{bd} + \delta_{3,3} R_{a}^{b} R_{b}^{c} R_{c}^{a} + \delta_{3,4} R_{ab} R^{ab} R + \delta_{3,5} R^{3}$$

$$\mathcal{L}_{\mathsf{EFT}}^{(4)} = \frac{1}{\mathsf{M}_{\mathsf{PI}}^4} \left[\alpha_2 \, \mathsf{R}^{\mathsf{ab}} \, \Box^2 \, \mathsf{R}_{\mathsf{ab}} - \beta_2 \, \mathsf{R} \, \Box^2 \, \mathsf{R} \right] + \gamma_{4,1} \, (\mathsf{C}_{\mathsf{abcd}} \, \mathsf{C}^{\mathsf{abcd}})^2 + \gamma_{4,2} (\mathsf{C}_{\mathsf{abcd}} \, {}^*\!\mathsf{C}^{\mathsf{abcd}})^2 + \dots \right] \bullet$$
Endlich, Gorbenko, Huang, Senatore, JHEP 09, 122 (2017)

... of general effective field theories of gravity.



Part II: Nonlinear evolution & black hole binaries

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High-performance computing ...



- 4th order finite differencing
- 4th order Runge-Kutta timestepping
- adaptive mesh refinement
- parallelized on HPC clusters

Dendro-GR https://github.com/paralab/Dendro-GR

... required to solve the full (3+1) binary problem.

Black-hole binaries ...

		EFT regime of validity
${ m GM}{ m m}_2\gg 1$	Held, Lim, PRD 108 (2023) 10 no deviations	
	quantitative deviations	
$GMm_2 \lesssim 1$	qualitative deviations Held, Lim, 2503.13428	

Waveforms for	GMm_2	$\lesssim 0.43$
Held, Lim, 2503.13428		

QG masses		Binary parameters			
$G m_0 M_2$	Gm_2M_2	$\sqrt{G}M_1$	$q = \frac{M_1}{M_2}$	a _{z,1}	a _{z,2}
1	0.2	1	1	0	0



... deviate quantitatively.

Waveforms for	$GMm_2 \lesssim 0.43$
Heid, Lim, 2503.13428	

QG masses		Binary parameters			
$G m_0 M_2$	${\sf G}{\sf m}_2{\sf M}_2$	$\sqrt{G}M_1$	$q = \frac{M_1}{M_2}$	a _{z,1}	a _{z,2}
1	0.2	1	5	-0.696	0

Held, Lim, 2503.13428 10^{1} 3 QG QG 10° GR GR 2 $\left(\Psi_{4}^{(2,2)}\right)$ $10^4 \times \operatorname{Re}\left(\Psi_4^{(2,2)}\right)$ $\left|10^{4} \times \mathrm{Re}\right|$ 10^{-10} -1 -3 10° -2 10 unequal mass unequal mass (GT0779) -3 -5 10^{-10} -200 120 40 80 -150 -100 -50 50 100 0 $(t - t_0)/(GM)$ $(t - t_0)/(GM)$

... deviate qualitatively.

Classical field theories with ghosts can be longlived

Propagating heavy ghosts achieves a well-posed IVP

Access to nonlinear time-evolution in the EFT of gravity