

Ghosts without runaway instabilities

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III. Stable motion of a ghost interacting with a positive energy degree of freedom

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V. Conclusions

C.D., S. Mukohyama, A. Vikman,
PRL 128 (2022) 4, 041301.

C.D., A. Held, S. Mukohyama, A. Vikman
JCAP 11 (2023) 031.

C.D. A. Held, A. Jalali, S. Mukohyama, A.
Vikman, in preparation.

I. Introduction

« Ghosts » are usually considered as degrees of freedom with « wrong sign » kinetic energies.



e.g. in a mechanical model, if a standard degree of freedom x has a free Hamiltonian

$$H = p_x^2$$

A ghost y would have the Hamiltonian

$$H = -p_y^2$$



In a scalar field theory, if a standard degree of freedom ϕ has a free Lagrangian

$$L = \partial_\mu \phi \partial^\mu \phi$$

A ghost ψ would have the Lagrangian

$$L = - \partial_\mu \psi \partial^\mu \psi$$



In field theories (with gravity), ghosts being associated with negative energies, their existence is linked with violations of energy conditions

- Free ghosts are not problematic
- E.g. a stable positive energy x with Hamiltonian

$$H_x = p_x^2 + \omega_x^2 x^2$$

Can coexist stably with a ghost y with Hamiltonian

$$H_y = -p_y^2 - \omega_y^2 y^2$$

- Or similarly, e.g.

$$H = + p_x^2 - \omega_x^2 x^2 + \Omega_x^4 x^4 \\ - p_y^2 + \omega_y^2 y^2 - \Omega_y^4 y^4$$

- Free ghosts are not problematic
- The trouble arises when they interact with (standard) non ghost degrees of freedom
- Ghosts should at least interact gravitationally with standard degrees of freedom



Standard lore:

These interactions lead to instabilities which can already be seen @ classical level in the form of runaways solutions.

NB: Various type of instabilities (stabilities) can be considered

@ Classical level



Local stability :
the motion stays close to its initial values



Global stability :
No runaway solutions: the motion stays
bounded for all initial data

@ Quantum level



No unitarity, vaccuum decay etc..

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Our papers

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@ Quantum level



No unitarity, vacuum decay etc..

This talk

Yet, ghosts could have some interest to describe the real world



Higher derivative theory, some with potentially interesting applications (e.g. [Stelle 1976](#) for gravity, see also [Lee, Wick, 1970](#)) feature generically ghosts ([Ostogradsky, 1850](#)).

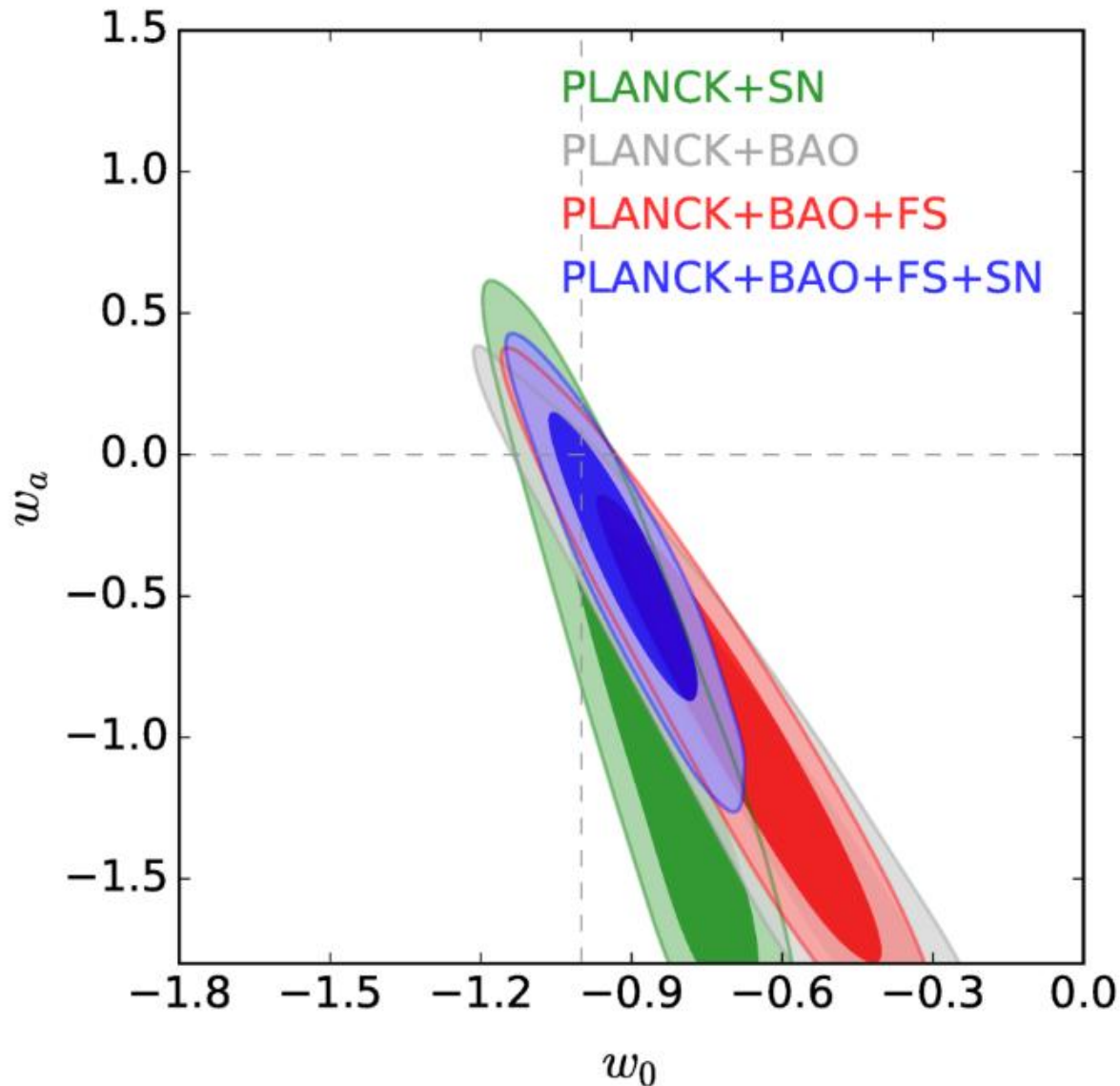


Could be used to address the cosmological constant problem using ghostly copies of the standard (model) fields ? [Linde 1984-1988, Kaplan-Sundrum 2006](#).



To obtain bouncing cosmologies ?
[Brandenberger, Peter, 2017](#).

↪ In cosmology: room for (dominant or weak) energy condition violations with dark energy (e.g. « Phantom DE » [Caldwell, 2002](#))



$$w_{DE} = w_0 + \frac{z}{1+z} w_a$$

Constraints on w_0 and w_a from various observations including SNIa (SN) and CMB (PLANCK)

Figure taken from the PDG review on dark energy:

Or from DESI 2024-2025

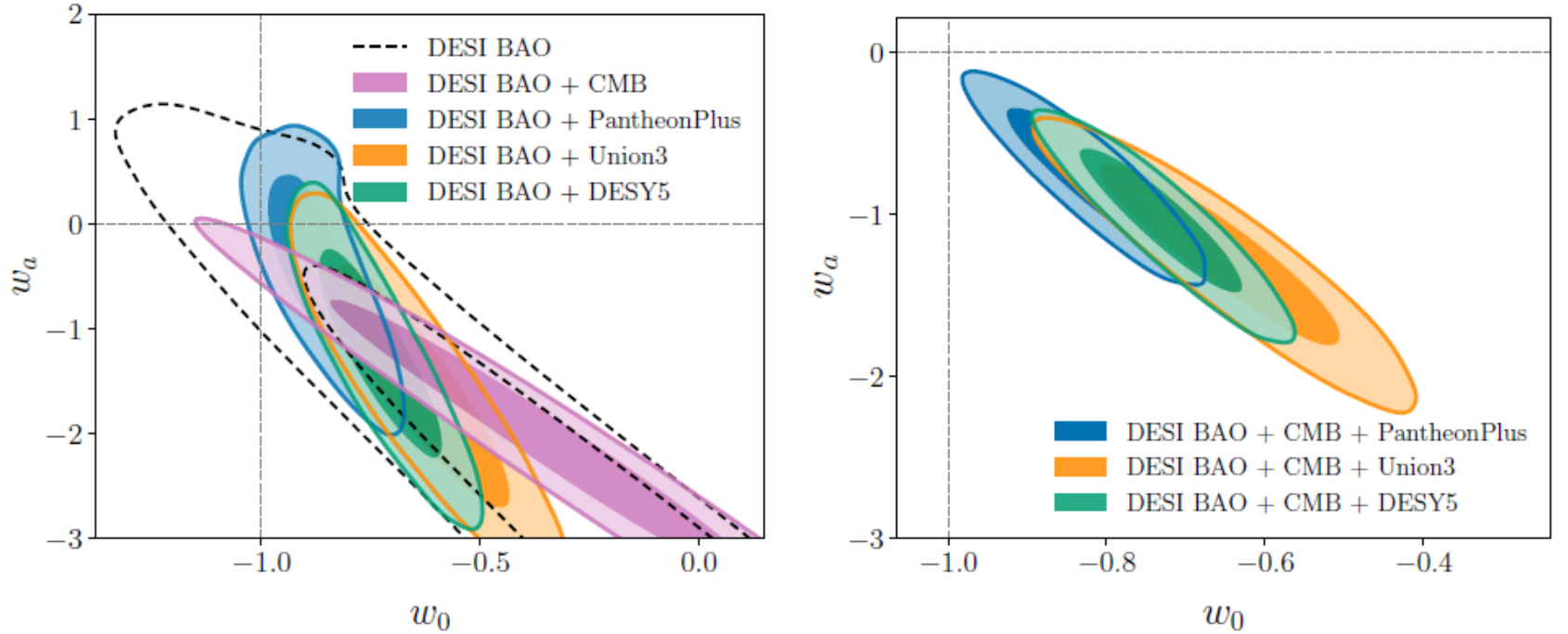


Figure 6. *Left panel:* 68% and 95% marginalized posterior constraints in the w_0 - w_a plane for the flat w_0w_a CDM model, from DESI BAO alone (black dashed), DESI + CMB (pink), and DESI + SN Ia, for the PantheonPlus [24], Union3 [25] and DESY5 [26] SNIa datasets in blue, orange and green respectively. Each of these combinations favours $w_0 > -1$, $w_a < 0$, with several of them exhibiting mild discrepancies with Λ CDM at the $\gtrsim 2\sigma$ level. However, the full constraining power is not realised without combining all three probes. *Right panel:* the 68% and 95% marginalized posterior constraints from DESI BAO combined with CMB and each of the PantheonPlus, Union3 and DESY5 SN Ia datasets. The significance of the tension with Λ CDM ($w_0 = -1$, $w_a = 0$) estimated from the $\Delta\chi^2_{\text{MAP}}$ values is 2.5σ , 3.5σ and 3.9σ for these three cases respectively.



Some authors have argued that ghosts can be benign, even with runaways

Smilga 2004, 2021; Damour, Smilga, 2021



Some scarce numerical studies indicates that a ghost can stably (global stability) interact with a positive energy d.o.f. or have « islands » of stable initial conditions (also expected from [Kolmogorov-Arnold-Moser](#) (KAM) theorem).

Pagani, Tecchiolli, Zerbini, 1987; Smilga 2005; Carrol, Hoffman, Trodden, 2003; Pavsic, 2016, 2013; Boulanger, Buisseret, Dierick, White, 2019.

II. Ghostifying (integrable) models :

building (integrable) theories of a ghost interacting
with a positive energy degree of freedom



Start with a system with N positive energy degrees of freedom:

N canonical pairs $\xi_i = (x_i, p_i)$

And Hamiltonian

$$H(x_i, p_i) = \sum_{i=1}^N \frac{p_i^2}{2m_i} + V(x_i, \dots x_N)$$



Then consider the complex transformation

$$\mathfrak{C}_{x^n}^{\pm} : \left\{ \begin{array}{ll} x_j \rightarrow \pm i x_j & \text{for } j = n \\ p_j \rightarrow \mp i p_j & \text{for } j = n \\ x_j \rightarrow x_j & \forall j \neq n \\ p_j \rightarrow p_j & \forall j \neq n \end{array} \right\}$$



It preserves the Poisson brackets $\{\}_{\text{PB}}$

$$\begin{aligned} \{\mathfrak{C}_{x^n}^{\pm}(x_i), \mathfrak{C}_{x^n}^{\pm}(p_j)\}_{\text{PB}} &= -\{\mathfrak{C}_{x^n}^{\pm}(p_i), \mathfrak{C}_{x^n}^{\pm}(x_j)\}_{\text{PB}} = \delta_{ij} , \\ \{\mathfrak{C}_{x^n}^{\pm}(x_i), \mathfrak{C}_{x^n}^{\pm}(x_j)\}_{\text{PB}} &= 0 , \\ \{\mathfrak{C}_{x^n}^{\pm}(p_i), \mathfrak{C}_{x^n}^{\pm}(p_j)\}_{\text{PB}} &= 0 . \end{aligned}$$



Then consider the complex transformation

$$\mathfrak{C}_{x^n}^{\pm} : \left\{ \begin{array}{ll} x_j \rightarrow \pm i x_j & \text{for } j = n \\ p_j \rightarrow \mp i p_j & \text{for } j = n \\ x_j \rightarrow x_j & \forall j \neq n \\ p_j \rightarrow p_j & \forall j \neq n \end{array} \right\}$$



But flips the sign of the kinetic energy of the n^{th} d.o.f.

$$p_n \rightarrow \pm i p_n \Rightarrow p_n^2/m_n \rightarrow -p_n^2/m_n$$

Transforming the n^{th} positive energy (**P**) d.o.f.
into a ghosty (**G**) d.o.f.

E.g. consider a **two** degree of freedom system with positive kinetic energies and Hamiltonian

$$H = \frac{1}{2}p_x^2 + \frac{1}{2}p_y^2 + V(x, y)$$

a « **PP** » system



Then, do the transformation

$$\mathfrak{C}_y^{\pm} \left\{ \begin{array}{l} y \rightarrow \pm i y \\ p_y \rightarrow \mp i p_y \end{array} \right.$$

This transforms the system to a « **PG** » one

$$H = \frac{1}{2}p_x^2 - \frac{1}{2}p_y^2 + V(x, \pm i y)$$



The interaction $V(x, y)$



Under the transformation $\mathcal{C}_y^\pm \left\{ \begin{array}{l} y \rightarrow \pm i y \\ p_y \rightarrow \mp i p_y \end{array} \right.$



$$V(x, \pm i y)$$



Can become complex

But not always true: e.g. $x^2 y^2 \rightarrow -x^2 y^2$ etc....

The preservation of the Poisson brackets,
implies that an integrable PP system

$$H = \frac{1}{2}p_x^2 + \frac{1}{2}p_y^2 + V(x, y)$$

I.e. such that there exists an extra integral of
motion / (besides the Hamiltonian)

$$\frac{dI}{dt} = \{I, H\}_{\text{PB}} = 0$$



Is transformed under

$$\mathfrak{G}_y^{\pm} \left\{ \begin{array}{l} y \rightarrow \pm i y \\ p_y \rightarrow \mp i p_y \end{array} \right.$$



To an integrable « PG » system (with the « same » I)



This can be used to build integrable stable
PG systems out of integrable PP systems

Integrable (mostly PP) systems with 2 d.o.f. have been studied and classified since long ago ...

... with the Pioneer work of [Liouville 1855](#), [Darboux 1901](#)

With a Hamiltonian of the form

$$H = \frac{1}{2}p_x^2 + \frac{1}{2}p_y^2 + V(x, y)$$



A (full ?) classification based on the **degree** of the integral motion I in the momenta exists up to ... a not very large degree (2?)

([Darboux 1901](#); [Whittaker 1964](#); [Fris, Smorodinskii, Uhler, Winternitz 1967](#); [Holt 1982](#), [Ankiewicz, Pask, 1983](#); [Dorizzi, Grammaticos, Ramani, 1983](#); [Thompson, 1984](#); [Sen, 1985](#); [Hietarinta, 1987](#); [Nagakawa, Yoshida, 2001](#); [Mitsopoulos, Tsampanlis, Paliathanasis, 2020](#)).

At **linear** order (**in the momenta**) there is only one class of integrable PP systems given by

- Class 0 :
$$\left[\begin{array}{l} V = f \left(\frac{a}{2}(x^2 + y^2) + c x - b y \right) , \\ I = a (y p_x - x p_y) - b p_x - c p_y \end{array} \right.$$

At **quadratic order (in the momenta)**, we have

- Class 1 :
$$\begin{cases} V = \frac{f(u) - g(v)}{u^2 - v^2} , \\ I = - (xp_y - yp_x)^2 - c p_x^2 \\ \quad + 2 \frac{u^2 g(v) - v^2 f(u)}{u^2 - v^2} , \end{cases}$$

With
$$\begin{cases} r^2 = x^2 + y^2 \\ u^2 = \frac{1}{2} \left(r^2 + c + \sqrt{(r^2 + c)^2 - 4 c x^2} \right) \\ v^2 = \frac{1}{2} \left(r^2 + c - \sqrt{(r^2 + c)^2 - 4 c x^2} \right) \\ f \text{ and } g \text{ arbitrary functions} \end{cases}$$

- Class 1 :
$$\begin{cases} V = \frac{f(u) - g(v)}{u^2 - v^2} , \\ I = - (xp_y - yp_x)^2 - c p_x^2 \\ \quad + 2 \frac{u^2 g(v) - v^2 f(u)}{u^2 - v^2} , \end{cases}$$

With
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This class 1 model (first discovered by [Liouville, 1855](#)) will play a central role in the rest of our work

There exists other classes with quadratic in momenta integral of motion I given by

- Class 2 :
$$\begin{cases} V = g(r) + \frac{f(x/y)}{r^2} , \\ I = (xp_y - yp_x)^2 + 2 f(x/y) , \end{cases}$$
- Class 4 :
$$\begin{cases} V = \frac{f(r+y) + g(r-y)}{r} , \\ I = (yp_x - xp_y)p_x \\ + \frac{(r+y)g(r-y) - (r-y)f(r+y)}{r} , \end{cases}$$

With
$$\begin{cases} r^2 = x^2 + y^2 \\ f \text{ and } g \text{ arbitrary functions} \end{cases}$$

III. Stable motion of a ghost interacting
with a positive energy degree of freedom

Consider now the « Liouville » class 1 integrable model

$$H_{\text{LV}} = \frac{p_x^2}{2} + \sigma \frac{p_y^2}{2} + V_{\text{LV}}(x, y)$$

With

$$\left[\begin{array}{l} V_{\text{LV}} = \frac{f(u) - g(v)}{u^2 - v^2} , \\ u^2 = \frac{1}{2} \left(r^2 + c + \sqrt{(r^2 + c)^2 - 4 c x^2} \right) , \\ v^2 = \frac{1}{2} \left(r^2 + c - \sqrt{(r^2 + c)^2 - 4 c x^2} \right) , \\ r^2 = x^2 + \sigma y^2 . \\ f, g \text{ arbitrary functions, } c \text{ an arbitrary constant} \end{array} \right.$$



The original model is **PP** (*i.e.* $\sigma = +1$), but by using a proper complex canonical transformation, it can be transformed to a **PG** one (*i.e.* $\sigma = -1$).

The system has two constant of motion :

The Hamiltonian $\left\{ \begin{array}{l} H_{LV} = \frac{p_x^2}{2} + \sigma \frac{p_y^2}{2} + V_{LV}(x, y) \\ V_{LV} = \frac{f(u) - g(v)}{u^2 - v^2} \end{array} \right.$

And $\left\{ \begin{array}{l} I_{LV} = -\sigma (p_y x - \sigma p_x y)^2 - c p_x^2 + \mathcal{V} \\ \mathcal{V} = 2 \frac{u^2 g(v) - v^2 f(u)}{u^2 - v^2} \end{array} \right.$

Now consider the PG case (with $\sigma = -1$)
and negative c (or positive $\tilde{c} = -c$)



This makes the momentum-dependent part of I_{LV} positive

We prove (for the PG case with negative c)
that the phase-space motion is bounded if

(i) $f(u)$ and $g(v)$ are bounded below, i.e.,

$$\begin{aligned} f(u) &\geq f_0 \\ g(v) &\geq g_0 \end{aligned}$$

with constants $f_0, g_0 \in \mathbb{R}$; and

(ii) at large $|u|$ and $|v|$, these lower bounds sharpen to

$$\begin{aligned} f(u) &\geq 4F_0 |u|^\zeta > 0 \\ g(v) &\geq 4G_0 |v|^\eta > 0 \end{aligned}$$

with positive constants $F_0, G_0 \in \mathbb{R}^+$ as well as $\zeta > 2$
and $\eta > 2$

Steps of the proof



Define a new first integral

$$\begin{aligned} J_{LV} &= I_{LV} - \tilde{c} H_{LV} \\ &= (xp_y + yp_x)^2 + \frac{\tilde{c}}{2} (p_x^2 + p_y^2) + \mathcal{U} \end{aligned}$$

Where

$$\begin{aligned} \mathcal{U}(u, v) &= \frac{(2u^2 + \tilde{c})g(v) + (2\tilde{v}^2 - \tilde{c})f(u)}{u^2 + \tilde{v}^2} \\ &= \gamma_+ g(v) + \gamma_- f(u), \end{aligned}$$

And $\tilde{v} = |v|$ is a real positive variable
(while v is purely imaginary)

$$J_{\text{LV}} = I_{\text{LV}} - \tilde{c} H_{\text{LV}}$$

$$= (xp_y + yp_x)^2 + \frac{\tilde{c}}{2} (p_x^2 + p_y^2) + \mathcal{U}$$

Where

$$\mathcal{U}(u, v) = \frac{(2u^2 + \tilde{c})g(v) + (2\tilde{v}^2 - \tilde{c})f(u)}{u^2 + \tilde{v}^2}$$

$$= \gamma_+ g(v) + \gamma_- f(u),$$



One can then show that $0 < \gamma_{\pm} < 2$

Implying using (i) that $\begin{cases} \gamma_+ g(v) \geq -2|g_0| \\ \gamma_- f(u) \geq -2|f_0| \end{cases}$

and in turn that $\mathcal{U} \geq -2(|g_0| + |f_0|)$

(i.e. \mathcal{U} is bounded below)

$$J_{LV} = I_{LV} - \tilde{c} H_{LV}$$

$$= (xp_y + yp_x)^2 + \frac{\tilde{c}}{2} (p_x^2 + p_y^2) + \mathcal{U}$$

Where

$$\mathcal{U}(u, v) = \frac{(2u^2 + \tilde{c})g(v) + (2\tilde{v}^2 - \tilde{c})f(u)}{u^2 + \tilde{v}^2}$$

$$= \gamma_+ g(v) + \gamma_- f(u),$$



As a consequence of J_{LV} being conserved
and of \mathcal{U} being bounded below

p_y, p_x and $|xp_x + yp_y|$ are bounded


Second step: show that x and y are bounded (separately)

$$J_{LV} = I_{LV} - \tilde{c} H_{LV}$$

$$\text{Where} \quad = (xp_y + yp_x)^2 + \frac{\tilde{c}}{2} (p_x^2 + p_y^2) + \mathcal{U}$$

$$\mathcal{U}(u, v) = \frac{(2u^2 + \tilde{c})g(v) + (2\tilde{v}^2 - \tilde{c})f(u)}{u^2 + \tilde{v}^2}$$

$$= \gamma_+ g(v) + \gamma_- f(u),$$

 To that hand: we show that conditions (i) and (ii) implies that \mathcal{U} grows without bounds at large enough $R = \sqrt{x^2 + y^2}$ which excludes that x and y grow without bound.

Steps are here a bit technical

The outcome is that, under conditions (i) and (ii) at least one of the following inequality holds

$$\mathcal{U} \geq -2 |g_0| + F_0 (\tilde{c}/2)^{\zeta/4} R^{\zeta/2}$$

$$\mathcal{U} \geq -2 |f_0| + G_0 (\tilde{c}/2)^{\eta/4} R^{\eta/2}$$

$$\mathcal{U} > -2 |g_0| + \tilde{c} F_0 2^{-\frac{\zeta}{2}} \left(R \sqrt{2\tilde{c}} \right)^{\zeta/2-1}$$

$$\mathcal{U} \geq -2 |f_0| + 4G_0 2^{-\frac{\eta}{2}} \left(R \sqrt{2\tilde{c}} \right)^{\frac{\eta}{2}}$$

$$\mathcal{U} \geq -2 |g_0| + 4F_0 2^{-\frac{\zeta}{2}} \left(R \sqrt{2\tilde{c}} \right)^2$$

$$\mathcal{U} > -2 |f_0| + \tilde{c} G_0 2^{-\frac{\eta}{2}} \left(R \sqrt{2\tilde{c}} \right)^{\eta/2-1}$$



This implies that for $F_0 > 0, G_0 > 0$ and $\eta > 2, \zeta > 2$
 \mathcal{U} diverges at large values of $R = \sqrt{x^2 + y^2}$.

NB: this proof also applies to the PP Liouville model

$$H = \frac{1}{2}p_x^2 + \frac{1}{2}p_y^2 + V(x, y)$$

$$\text{with } \left\{ \begin{array}{l} V = \frac{f(u) - g(v)}{u^2 - v^2} , \\ I = - (xp_y - yp_x)^2 - c p_x^2 \\ \quad + 2 \frac{u^2 g(v) - v^2 f(u)}{u^2 - v^2} , \end{array} \right.$$

Where one « ghostifies x » via a complex

canonical transformation \mathfrak{C}_x^\pm as opposed to \mathfrak{C}_y^\pm

(i) $f(u)$ and $g(v)$ are bounded below, i.e.,

$$f(u) \geq f_0$$

$$g(v) \geq g_0$$

with constants $f_0, g_0 \in \mathbb{R}$; and

(ii) at large $|u|$ and $|v|$, these lower bounds sharpen to

$$f(u) \geq 4F_0 |u|^\zeta > 0$$

$$g(v) \geq 4G_0 |v|^\eta > 0$$

with positive constants $F_0, G_0 \in \mathbb{R}^+$ as well as $\zeta > 2$
and $\eta > 2$



A set of functions f and g satisfying the above

Yields the theory considered in

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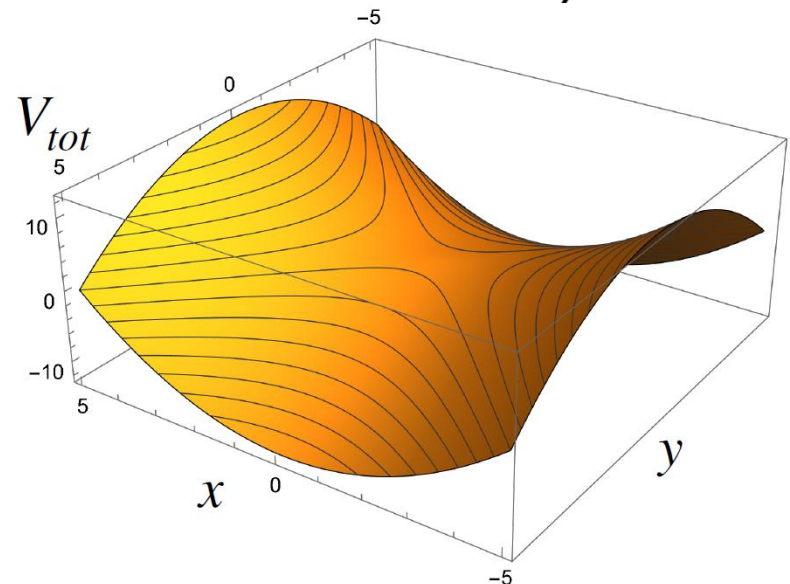
Is defined by the Hamiltonian

$$H = \frac{1}{2} (p_x^2 + x^2) - \frac{1}{2} (p_y^2 + y^2) + V_I (x, y)$$

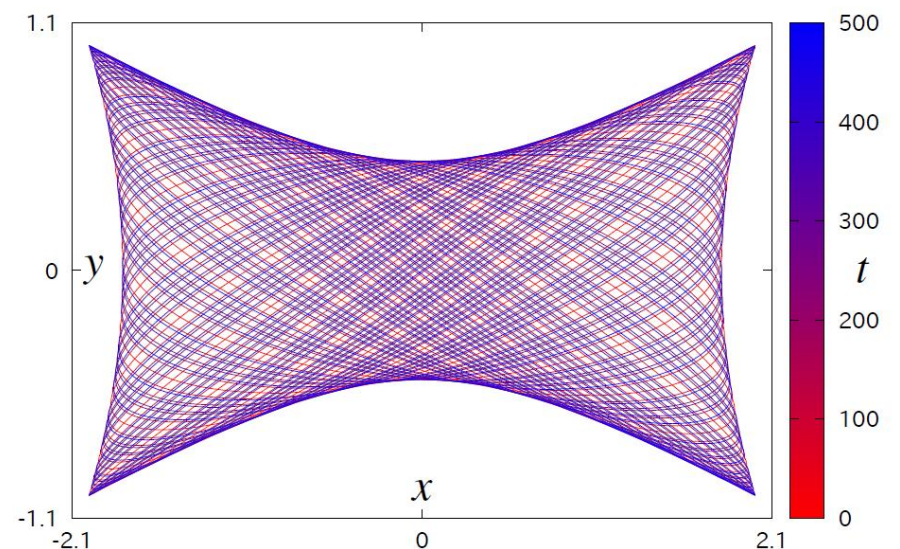
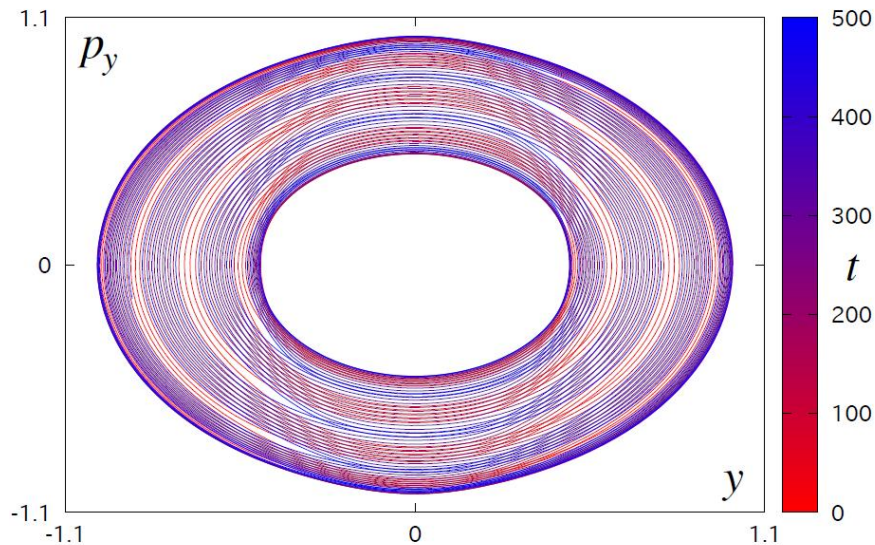
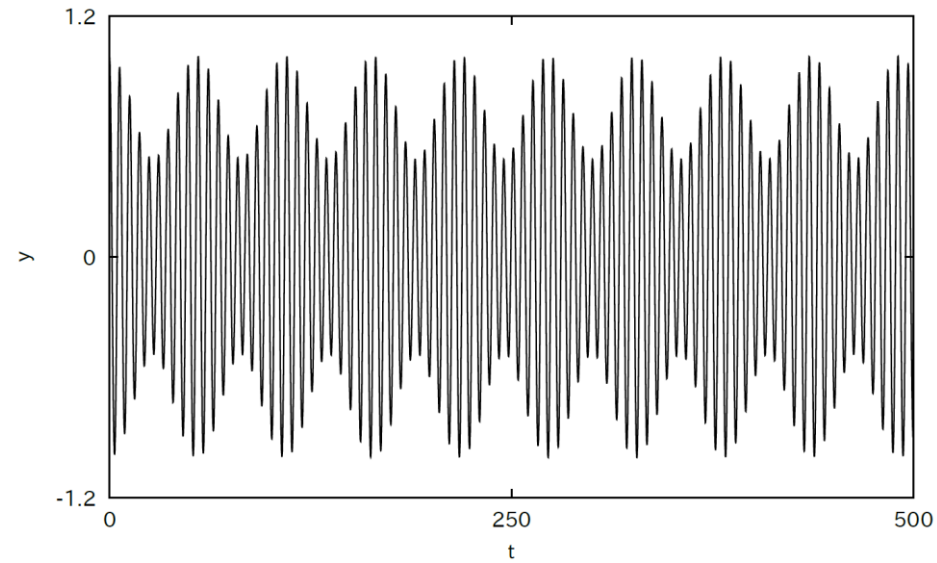
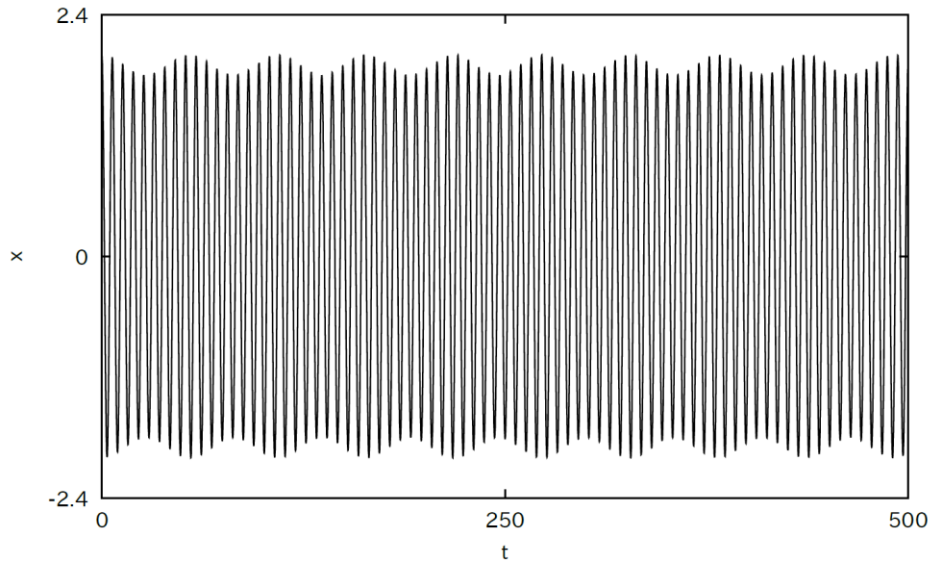
$$\text{With } V_I (x, y) = \lambda \left((x^2 - y^2 - 1)^2 + 4x^2 \right)^{-1/2}$$

and λ a constant

Total potential energy plotted here for
the coupling constant $\lambda = \frac{1}{3}$



This yields indeed a totally stable motion in phase space despite the ghost and the unbounded above and below interaction potential



$$\left(\begin{aligned} H &= \frac{1}{2} (p_x^2 + x^2) - \frac{1}{2} (p_y^2 + y^2) + V_I(x, y) \\ V_I(x, y) &= \lambda \left((x^2 - y^2 - 1)^2 + 4x^2 \right)^{-1/2} \end{aligned} \right)$$

Expanding the total potential energy around the origin, we find

$$V_{tot} = \frac{\omega_x^2}{2} x^2 - \frac{\omega_y^2}{2} y^2 + \lambda (x^4 + 4y^2 x^2 + y^4) + \dots$$

$$\text{With } \begin{cases} \omega_x^2 = 1 - 2\lambda \\ \omega_y^2 = 1 + 2\lambda \end{cases}$$



So for $|\lambda| < \frac{1}{2}$ both oscillators are linearly stable around the origin

Can one remove the interactions between the oscillators by making a suitable canonical transformation?



No !

This can be shown using a theorem by Arnold:

$$\text{define } \begin{cases} z_x = p_x + ix \\ \bar{z}_x = p_x - ix \end{cases} \quad \dots \text{ and similarly for } y$$

then (in the « non resonant » case, where, as generically true,

$\omega_x/\omega_y = \sqrt{(1-2\lambda)/(1+2\lambda)}$ is irrational) any interaction

of the form $z_x^{\alpha_x} \bar{z}_x^{\beta_x} z_y^{\alpha_y} \bar{z}_y^{\beta_y}$ can be removed by a canonical

transformation, except if $\alpha_x = \beta_x$ and simultaneously $\alpha_y = \beta_y$

In our case, looking e.g. at order 4,

each term x^4 , x^2y^2 and y^4

contains one (and only one) monomial which cannot be removed by a canonical transformation.

(i) $f(u)$ and $g(v)$ are bounded below, i.e.,

$$f(u) \geq f_0$$

$$g(v) \geq g_0$$

with constants $f_0, g_0 \in \mathbb{R}$; and

(ii) at large $|u|$ and $|v|$, these lower bounds sharpen to

$$f(u) \geq 4F_0 |u|^\zeta > 0$$

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with positive constants $F_0, G_0 \in \mathbb{R}^+$ as well as $\zeta > 2$ and $\eta > 2$



The previous model is obtained from f and g (satisfying the above) and which are polynomial functions of u^2 and v^2

$$\begin{cases} f(u) = \mathcal{C}_0 + \mathcal{C}_1 u^2 + \mathcal{C}_2 u^4 \\ g(v) = \mathcal{D}_0 + \mathcal{D}_1 v^2 + \mathcal{D}_2 v^4 \end{cases} \quad \text{with} \quad \begin{cases} \mathcal{C}_2 > 0 \\ \mathcal{D}_2 > 0 \end{cases}$$

An other interesting set of polynomial functions f and g in the Liouville case are obtained by choosing identical f and g :

$$\left\{ \begin{array}{l} f(u) = \sum_{n=1}^N \mathcal{C}_n (u^2)^n \\ g(v) = \sum_{n=1}^N \mathcal{C}_n (v^2)^n \end{array} \right.$$

This yields a potential

$$V_{\text{LV}}^{(N)} = \sum_{n=1}^N \frac{\mathcal{C}_n}{u^2 - v^2} \left[(u^2)^n - (v^2)^n \right]$$

which is polynomial in x and y

For $N \leq 4$ and $N \leq 6$ the potentials read respectively :

$$V_{\text{LV}}^{(4)}(x, y) = \frac{\omega_x^2}{2} \left[x^2 - \frac{(x^2 - y^2)^2}{c} \right] - \frac{\omega_y^2}{2} \left[y^2 - \frac{(x^2 - y^2)^2}{c} \right] \\ + \mathcal{C}_4 \left[(x^2 - y^2)^3 - c(x^4 - y^4) \right]$$

$$V_{\text{LV}}^{(6)}(x, y) = V_4(x, y) \\ + \mathcal{C}_5(x^2 - y^2)^4 \\ + c \mathcal{C}_5(x^2 - y^2)^2(x^2 - 4y^2) \\ + c^2 \mathcal{C}_5(3y^4 - 2x^4) \\ + \mathcal{C}_6(x^2 - y^2)^5 \\ + c \mathcal{C}_6(x^2 - y^2)^3(x^2 - 5y^2) \\ + c^2 \mathcal{C}_6(x^2 - y^2)(x^4 - 8x^2y^2 + 10y^4) \\ + c^3 \mathcal{C}_6(6y^4 - 3x^4)$$

With identical and polynomial functions $f(u)$ and $g(v)$,
and at large values of $|u|$ and $|v|$,

we have
$$\begin{cases} f(u) \longrightarrow \mathcal{C}_N (u^2)^N \\ g(v) \longrightarrow (-1)^N \mathcal{C}_N (-v^2)^N \end{cases}$$

So that condition (ii)

(ii) at large $|u|$ and $|v|$, these lower bounds sharpen to

$$f(u) \geq 4F_0 |u|^\zeta > 0 ,$$

$$g(v) \geq 4G_0 |v|^\eta > 0 ,$$

with positive constants $F_0, G_0 \in \mathbb{R}^+$ as well as $\zeta > 2$
and $\eta > 2$

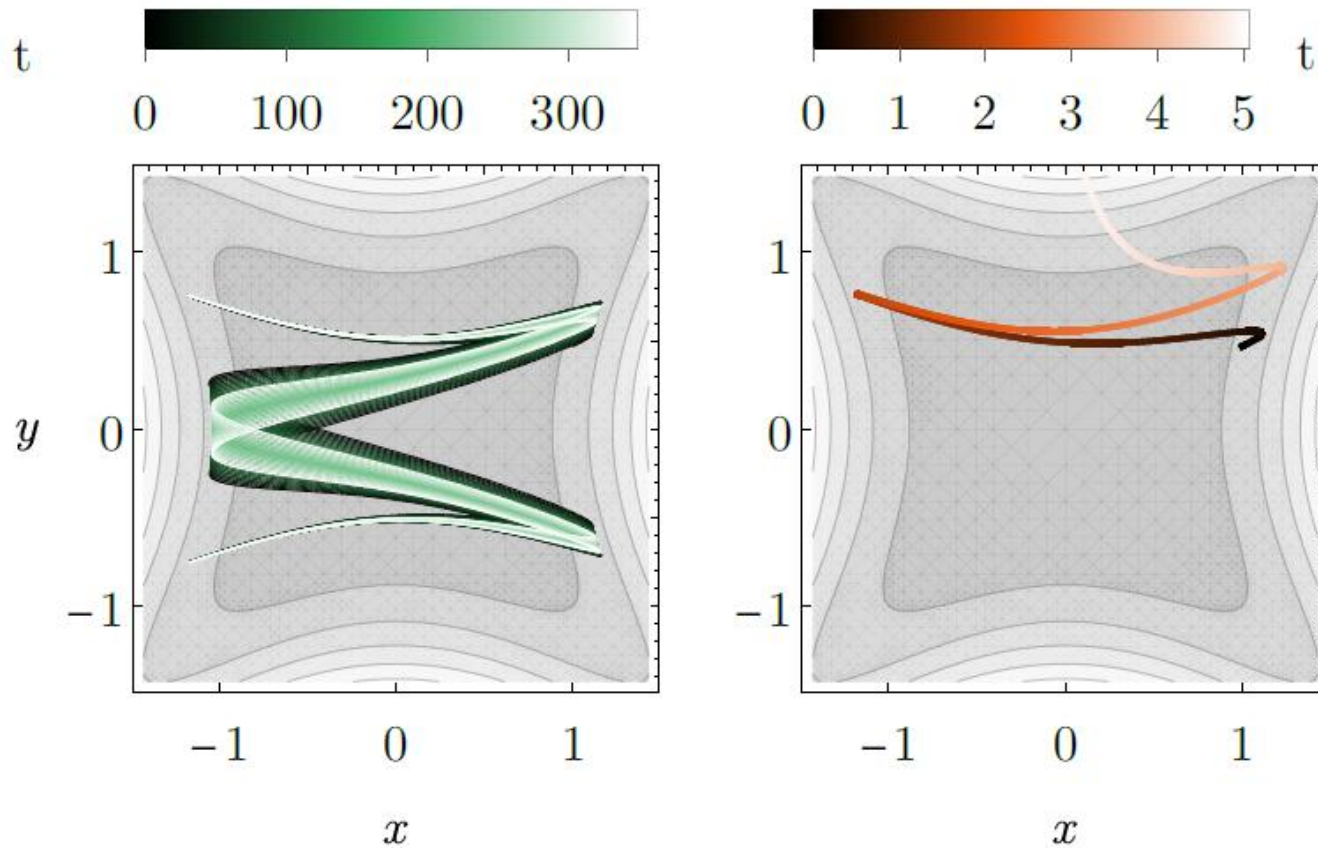
is fulfilled for **even** N but **not for odd** N

$$V_{\text{LV}}^{(4)}(x, y) = \frac{\omega_x^2}{2} \left[x^2 - \frac{(x^2 - y^2)^2}{c} \right] - \frac{\omega_y^2}{2} \left[y^2 - \frac{(x^2 - y^2)^2}{c} \right] + \mathcal{C}_4 [(x^2 - y^2)^3 - c(x^4 - y^4)] . \quad (\text{D7})$$

Contrasting the motions for $N = 3$ (where $\mathcal{C}_4 = 0$) and $N = 4$

$$V_{\text{LV}}^{(4)}(x, y) = \frac{\omega_x^2}{2} \left[x^2 - \frac{(x^2 - y^2)^2}{c} \right] - \frac{\omega_y^2}{2} \left[y^2 - \frac{(x^2 - y^2)^2}{c} \right] + \mathcal{C}_4 [(x^2 - y^2)^3 - c(x^4 - y^4)] . \quad (\text{D7})$$

Two motions for $N = 3$ ($\omega_x^2 = 1$, $\omega_y^2 = -1$, $\mathcal{C}_4 = 0$, and $\tilde{c} = 1$)

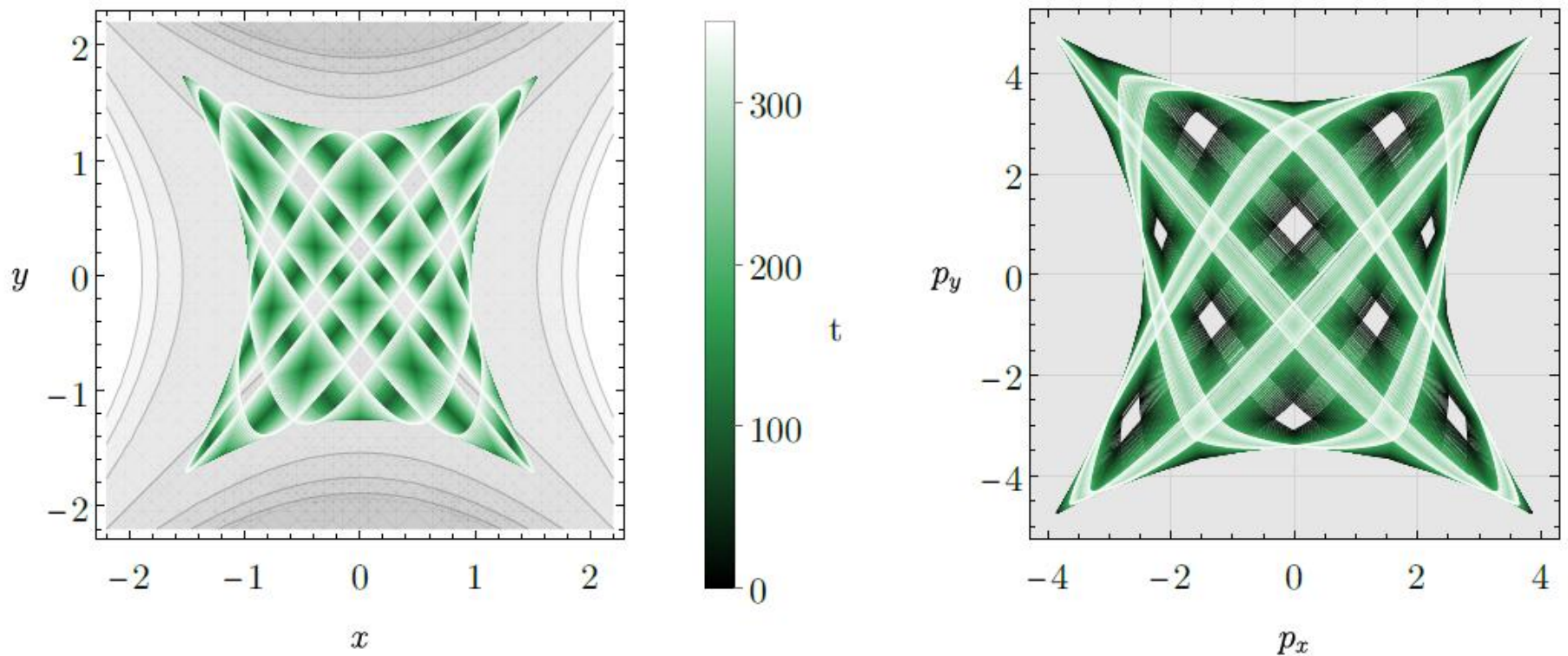


NB:

- In gray: contour plots of the potential
- One stable motion and a nearby diverging one

$$V_{\text{LV}}^{(4)}(x, y) = \frac{\omega_x^2}{2} \left[x^2 - \frac{(x^2 - y^2)^2}{c} \right] - \frac{\omega_y^2}{2} \left[y^2 - \frac{(x^2 - y^2)^2}{c} \right] + \mathcal{C}_4 [(x^2 - y^2)^3 - c(x^4 - y^4)] . \quad (\text{D7})$$

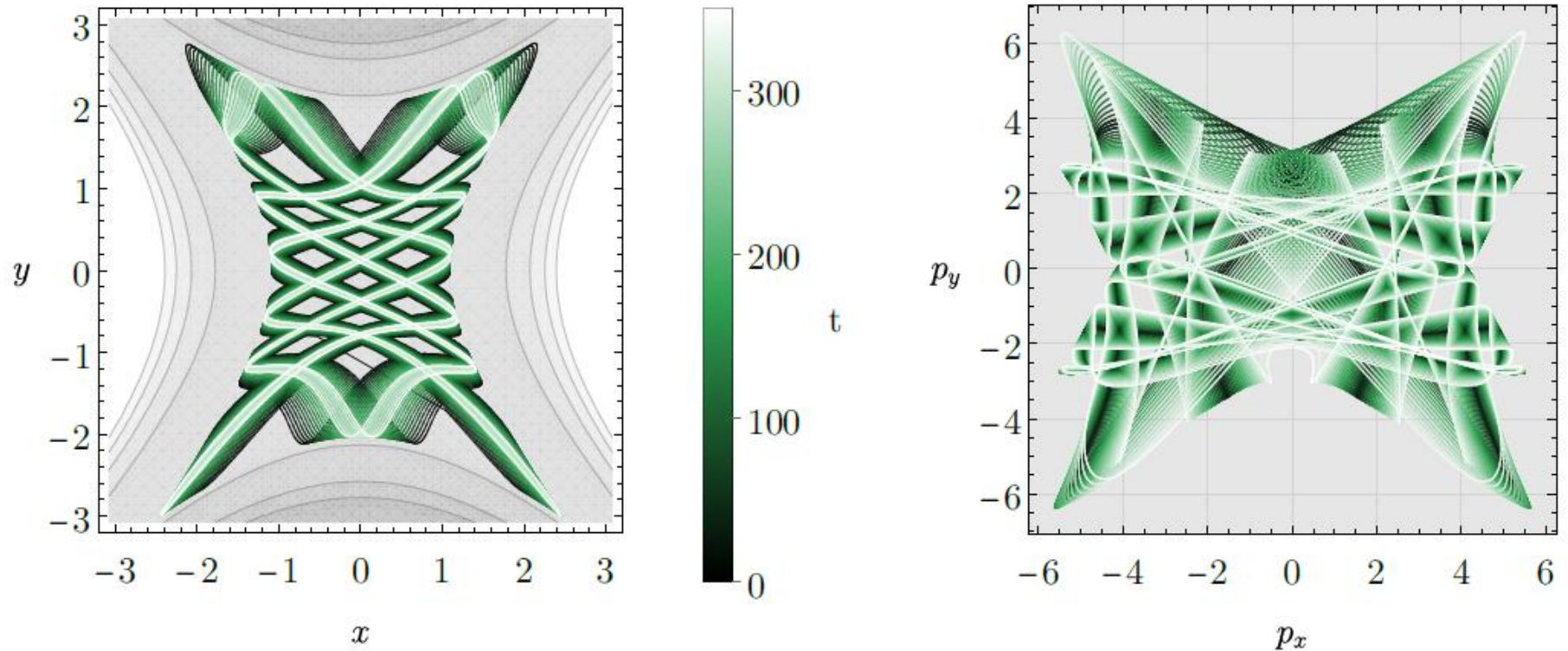
A motion for $N = 4$ ($\omega_x^2 = 1$, $\omega_y^2 = 1$, $\mathcal{C}_4 = 1$, and $\tilde{c} = 1$)



- In gray: contour plots of the potential
- origin ($x = 0, y = 0$) is non tachyonic

$$V_{\text{LV}}^{(4)}(x, y) = \frac{\omega_x^2}{2} \left[x^2 - \frac{(x^2 - y^2)^2}{c} \right] - \frac{\omega_y^2}{2} \left[y^2 - \frac{(x^2 - y^2)^2}{c} \right] + \mathcal{C}_4 [(x^2 - y^2)^3 - c(x^4 - y^4)] . \quad (\text{D7})$$

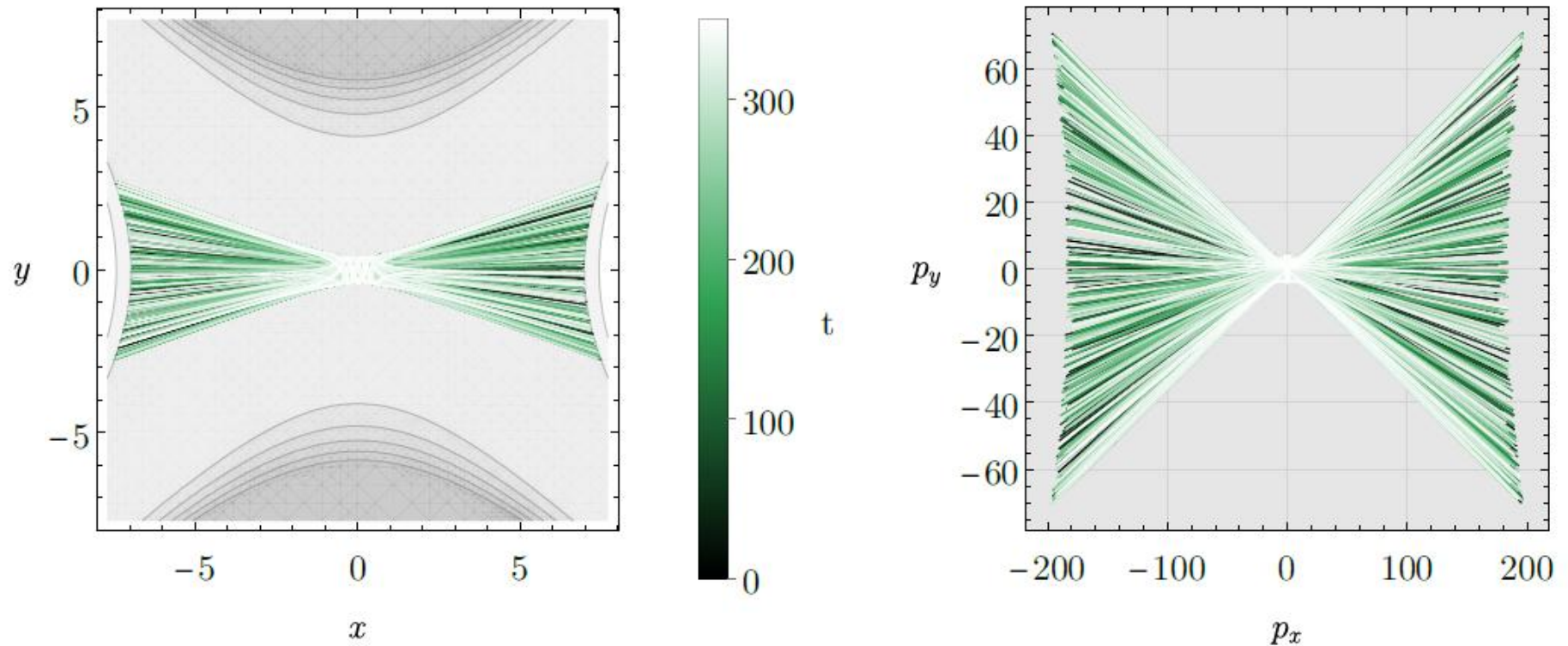
A motion for $N = 4$ ($\omega_x^2 = 5$, $\omega_y^2 = -5$, $c = 1$, and $\tilde{c} = 1$)



- In gray: contour plots of the potential
- origin ($x = 0, y = 0$) is tachyonic

$$V_{\text{LV}}^{(4)}(x, y) = \frac{\omega_x^2}{2} \left[x^2 - \frac{(x^2 - y^2)^2}{c} \right] - \frac{\omega_y^2}{2} \left[y^2 - \frac{(x^2 - y^2)^2}{c} \right] + \mathcal{C}_4 [(x^2 - y^2)^3 - c(x^4 - y^4)] . \quad (\text{D7})$$

A motion for $N = 4$ ($\omega_x^2 = 1$, $\omega_y^2 = 100$, $\mathcal{C}_4 = 1$, and $\tilde{c} = 1$)



- In gray: contour plots of the potential
- Large frequency ratio $\frac{\omega_y}{\omega_x}$

V. Numerical investigations



Stability was also investigated numerically, both for integrable and non integrable models

Numerical method:



Random initial conditions were drawn (from a normal distribution with standard deviation SD) for $x(t_i), y(t_i), \dot{x}(t_i), \dot{y}(t_i)$ at $t_i = 0$



These initial conditions were evolved until either $t_f = t_{max}$ or until the numerical routine **detects a runaway**, in which case $t_f < t_{max}$



We repeat the numerical experiment above N_{evol} times

and define
$$t_{mean} = \frac{1}{N_{evol}} \sum_{j=1}^{N_{evol}} t_f^{(j)}$$



A value of $t_{mean} = t_{max}$ indicates (choosing a large t_{max}) an absence of runaway

To detect a runaway we use

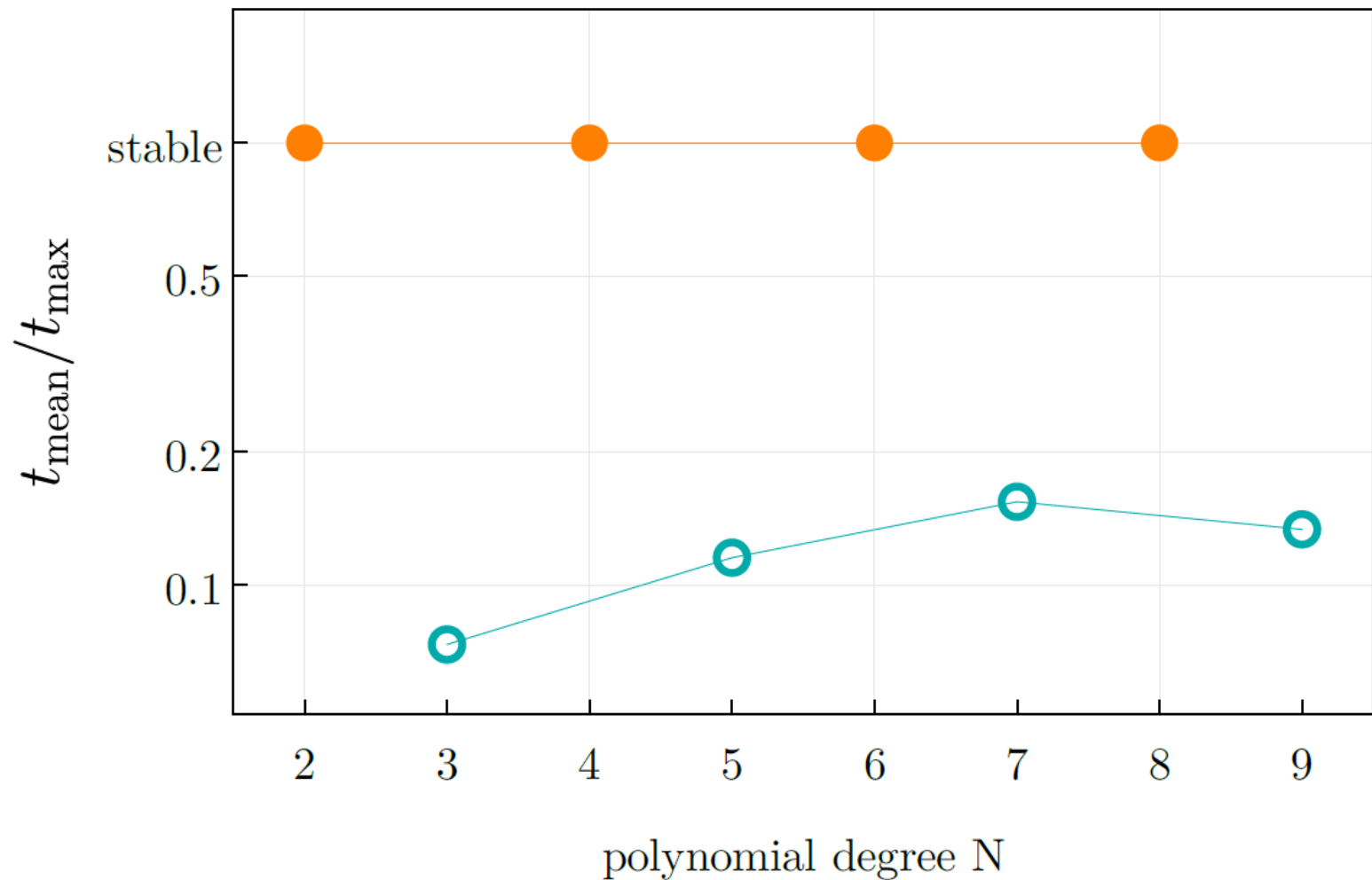
- Criterion A:

Stiffness detection by the the Runge-Kutta numerical routine (NDSolve of Mathematica). This detects typically (faster than) exponential runaways

- Criterion B:

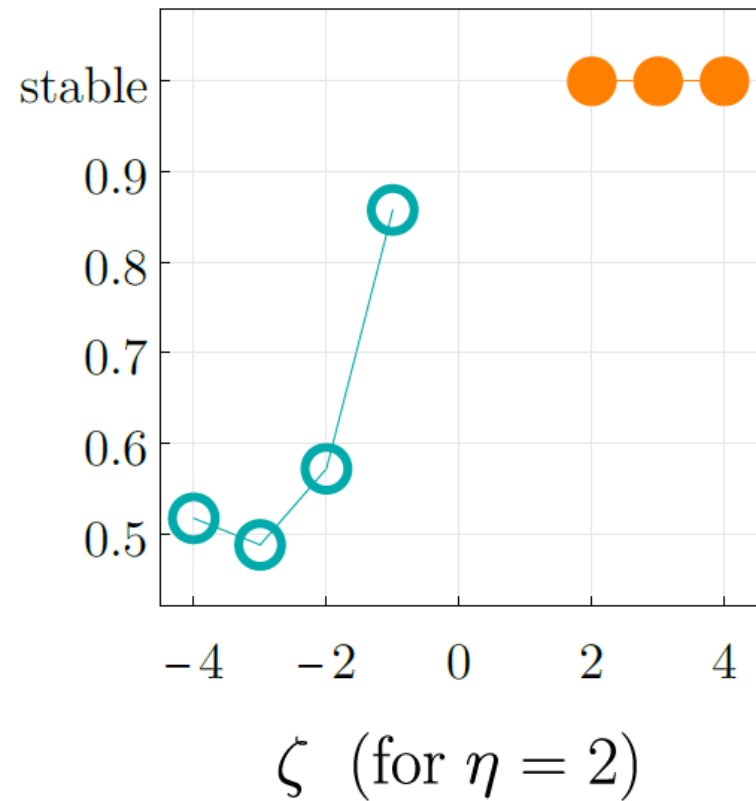
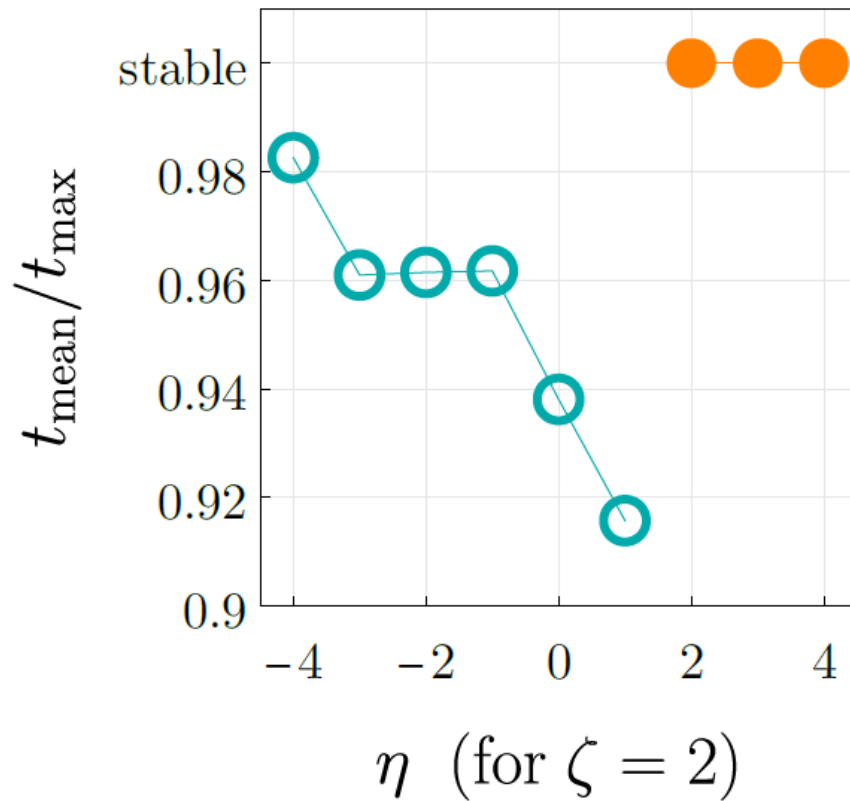
Polynomial growth are detected checking whenever $x(t)$ and $y(t)$ become larger than a specific constant chosen to be much larger than the standard deviation SD of the initial values.

Numerical investigations of the Liouville (integrable) model :
agrees with the analytic proof



Going beyond the polynomial case, we also investigated

$$f(u) = |u|^\zeta, \quad g(v) = |v|^\eta$$



Agrees with criterion (ii) of the analytic proof

In the stable Liouville cases (i.e. even N) one notices the following features of the potentials:

- We can define the « decoupled » potentials for x and y respectively by

$$\begin{aligned}V_P(x) &= V(x, 0) \\ V_G(y) &= V(0, y)\end{aligned}$$

- And we have that $V(x, y) \leq V_P(x) + V_G(y) \quad \forall |x| \geq |y|$

(note that attention has to be paid to what happens around $|x| = |y|$ direction)

For $N \leq 4$ we have

$$\begin{aligned}
 V_{\text{LV}}^{(4)}(x, y) = & \frac{\omega_x^2}{2}x^2 - \frac{\omega_y^2}{2}y^2 \\
 & + \frac{1}{\tilde{c}} \left(\frac{\omega_x^2}{2} - \frac{\omega_y^2}{2} \right) (x^2 - y^2)^2 \\
 & + \tilde{c} \mathcal{C}_4(x^4 - y^4) + \mathcal{C}_4(x^2 - y^2)^3
 \end{aligned}$$

Both
potentials
leads to stable
motions for
the P and the
G d.o.f. taken
in isolation

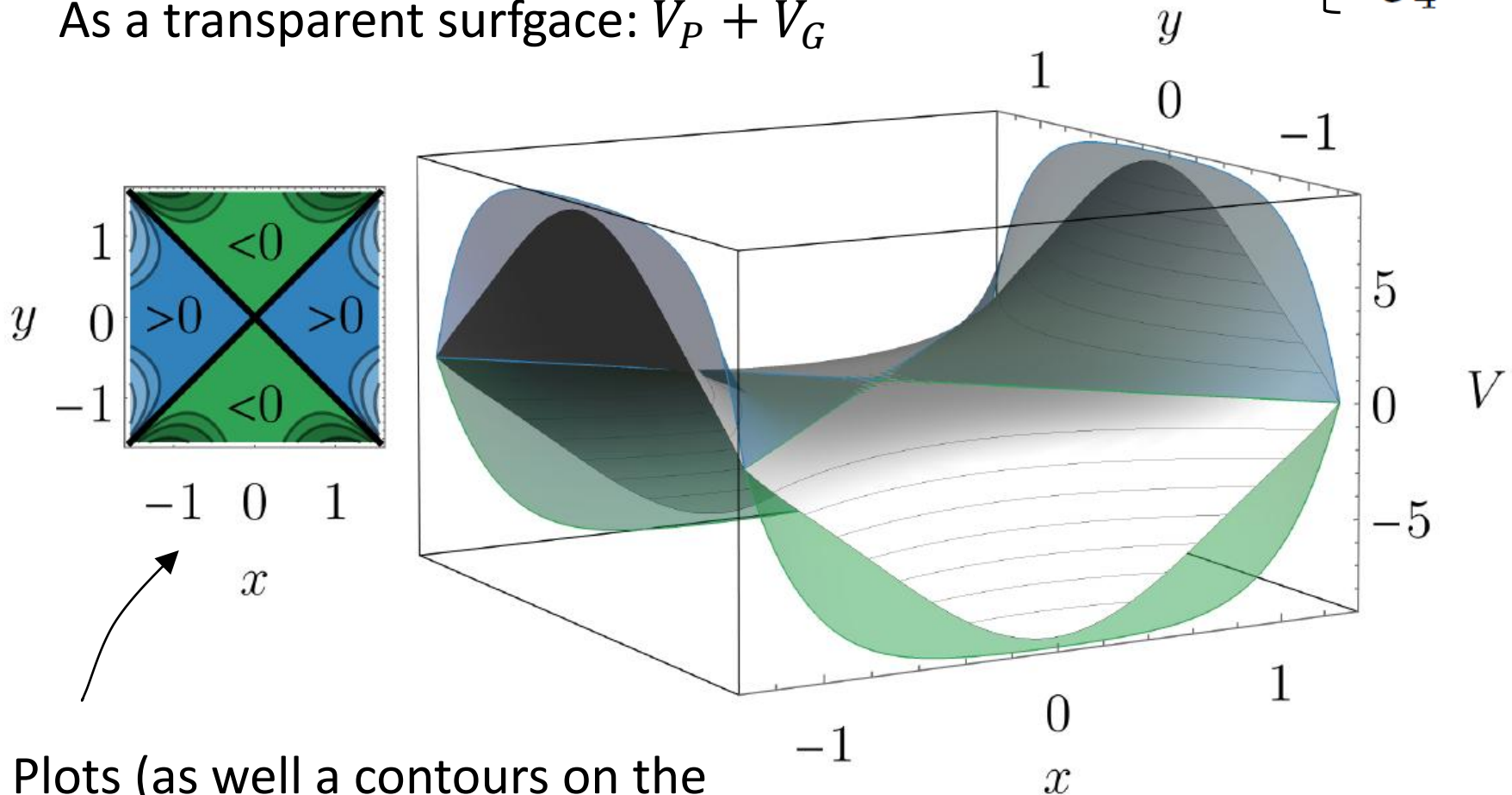
$$\left\{ \begin{aligned}
 V_{\text{P}}(x) &= \frac{\omega_x^2 x^2}{2} + \left[\frac{(\omega_x^2 - \omega_y^2)}{2\tilde{c}} + \mathcal{C}_4 \tilde{c} \right] x^4 + \mathcal{C}_4 x^6 \\
 V_{\text{G}}(y) &= - \left[\frac{\omega_y^2 y^2}{2} + \left[\frac{(\omega_y^2 - \omega_x^2)}{2\tilde{c}} + \mathcal{C}_4 \tilde{c} \right] y^4 + \mathcal{C}_4 y^6 \right]
 \end{aligned} \right.$$

$$V(x, y) \leq V_P(x) + V_G(y) \quad \forall |x| \geq |y|$$

$$V_{LV} @ N = 4 \text{ and } \left\{ \begin{array}{l} \omega_x^2 = 1 \\ \omega_y^2 = 1 \\ \tilde{c} = 1 \\ \mathcal{C}_4 = 1 \end{array} \right.$$

In green: V

As a transparent surface: $V_P + V_G$



Plots (as well a contours on the right) the difference $(V_P + V_G) - V$



From the integrable model,

We conjectured that **stable motion can exist** provided the **interaction between the P and the G is dominated at large x and y by decoupled stabilizing potentials**

i.e. **potentials which do not couple x and y but lead to stable motions of the P and G taken in isolation**



Note that this applies in the Liouville case (class 1)

$$\begin{aligned}
 V_{\text{LV}}^{(4)}(x, y) = & \frac{\omega_x^2}{2} x^2 - \frac{\omega_y^2}{2} y^2 \\
 & + \frac{1}{\tilde{c}} \left(\frac{\omega_x^2}{2} - \frac{\omega_y^2}{2} \right) (x^2 - y^2)^2 \\
 & + \tilde{c} \mathcal{C}_4 (x^4 - y^4) + \mathcal{C}_4 (x^2 - y^2)^3
 \end{aligned}$$



But not to e.g. a ghostified class 3 theory

$$\begin{aligned}
 V_{(\text{class } 3)}^{(4)}(x, y) \stackrel{\omega_x = \omega_y}{=} & \frac{\omega^2}{2} (x^2 - y^2) + \mathcal{C}_4 (x^2 - y^2)^3 \\
 & - \mathcal{C}_4 c (x + y)(x - y)(x \pm y)^2 .
 \end{aligned}$$

For which we do not have analytical stability proof and found polynomial runaways in particular along $x = \pm y$ directions



Note also that similar polynomial runaways were also found for the theory ([Smilga, 2017](#); [Smilga, Roberts, 2008](#))

$$H = \frac{1}{2} (p_x^2 + \omega^2 x^2) - \frac{1}{2} (p_y^2 + \omega^2 y^2) + \frac{\lambda}{4\omega} (x - y)(x + y)^3 ,$$

which can in fact be shown to be a special ghostified class 8, but can also be obtained from a supersymmetric construction ([Smilga, Roberts, 2008](#)), and in fact our method to ghostify allows to get a host of such theories.

Consider in turn

$$V_{I,\delta} = \tilde{V}_{I,\delta} + \frac{1}{2} x^2 - \frac{1}{2} y^2$$
$$\tilde{V}_{I,\delta} = (1 + x^2 + y^2)^{1-\delta}$$

$$V_{II,\delta} = \tilde{V}_{II,\delta} + \frac{1}{2} x^2 - \frac{1}{2} y^2$$
$$\tilde{V}_{II,\delta} = (1 + x^2 y^2)^{\frac{1}{2}-\delta}$$

$$V_{III,\delta} = \tilde{V}_{III,\delta} + (x^2)^{2+\delta} - (y^2)^{2+\delta}$$
$$\tilde{V}_{III,\delta} = x^2 y^2$$

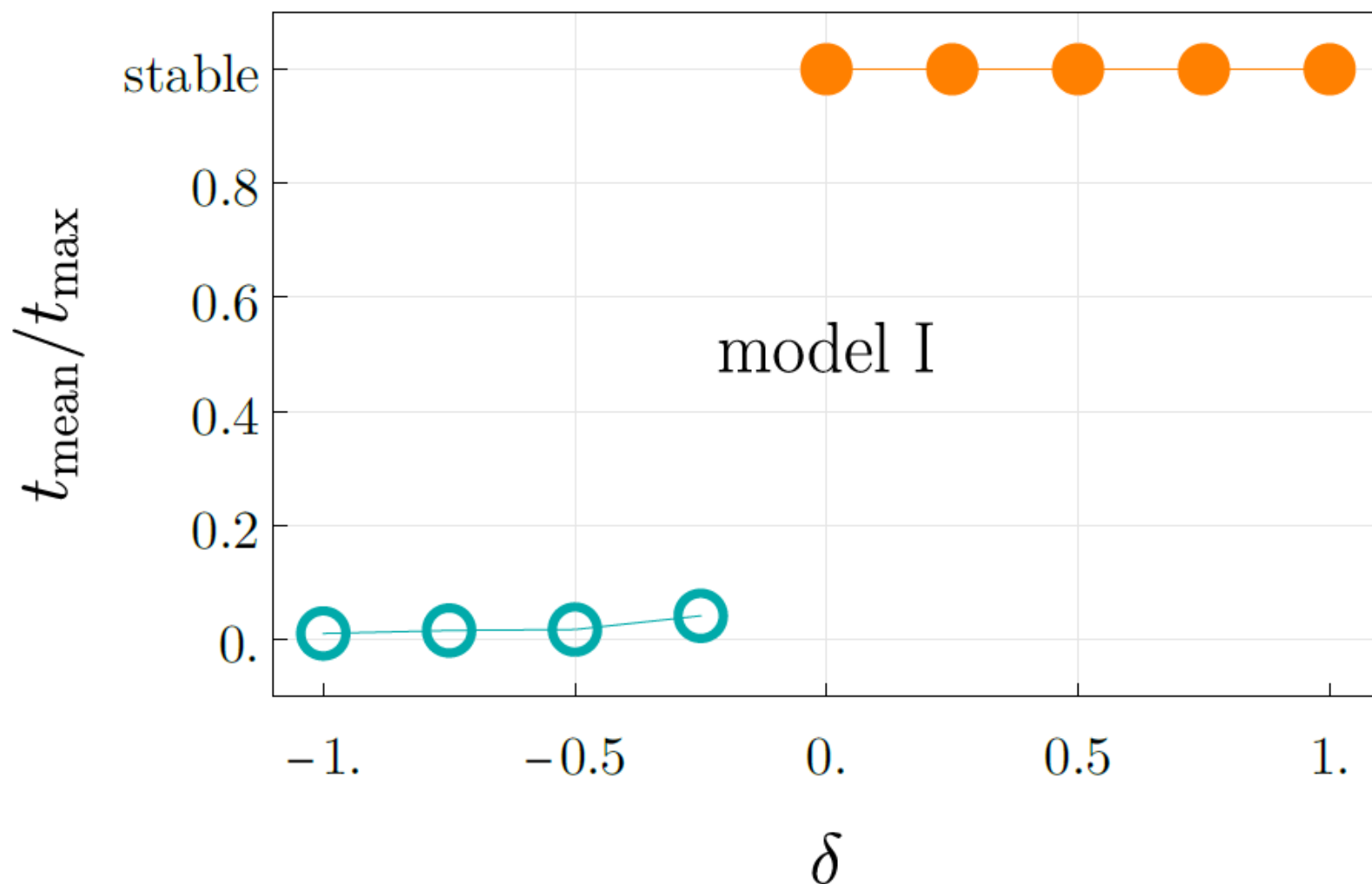


All these theories are such that at large $|x|$ and $|y|$, and $\delta > 0$, the potential is dominated by stabilizing decoupled potentials for the two degrees of freedom.

Numerical checks :

$$V_{I,\delta} = \tilde{V}_{I,\delta} + \frac{1}{2}x^2 - \frac{1}{2}y^2$$

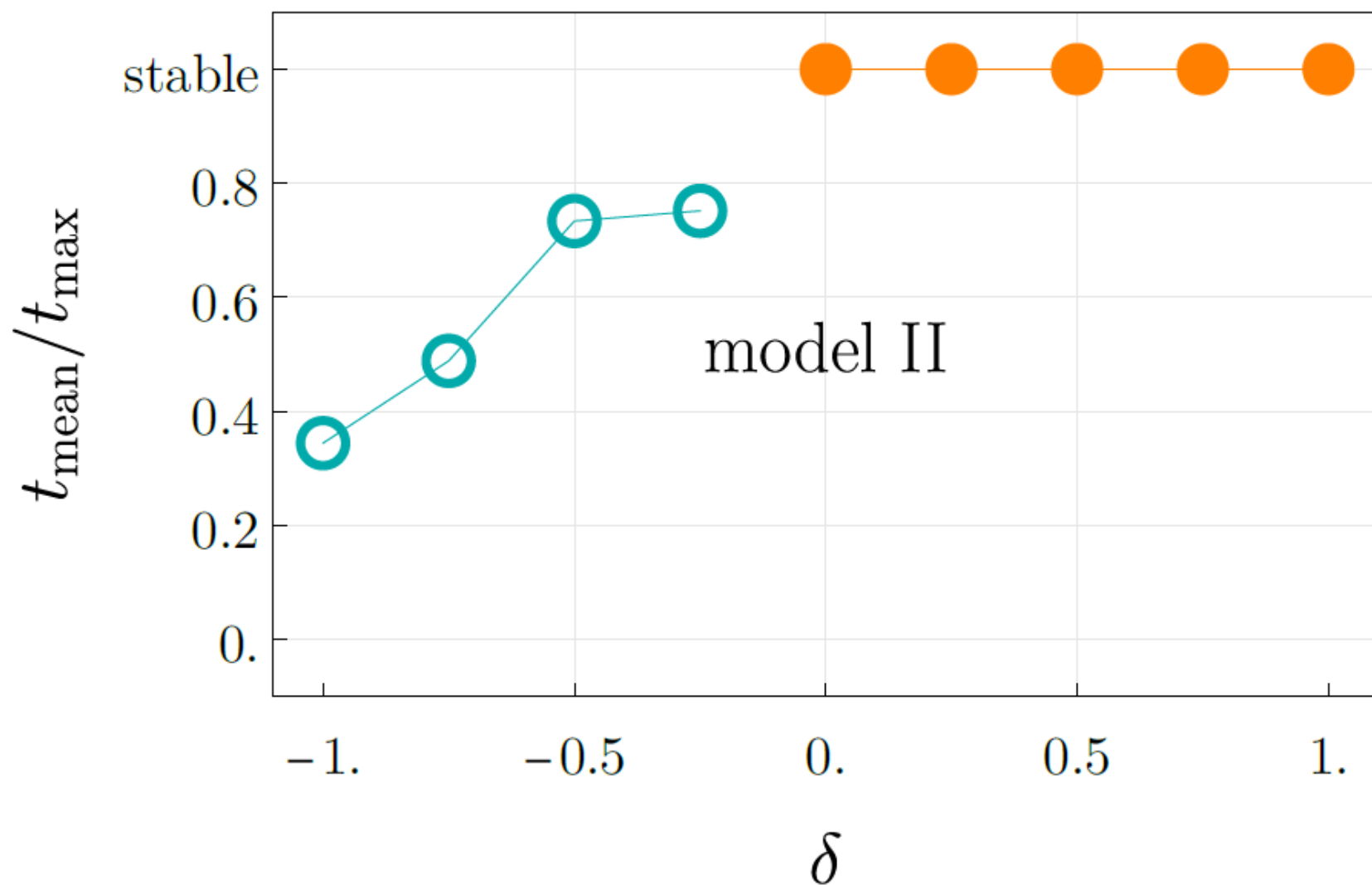
$$\tilde{V}_{I,\delta} = (1 + x^2 + y^2)^{1-\delta}$$



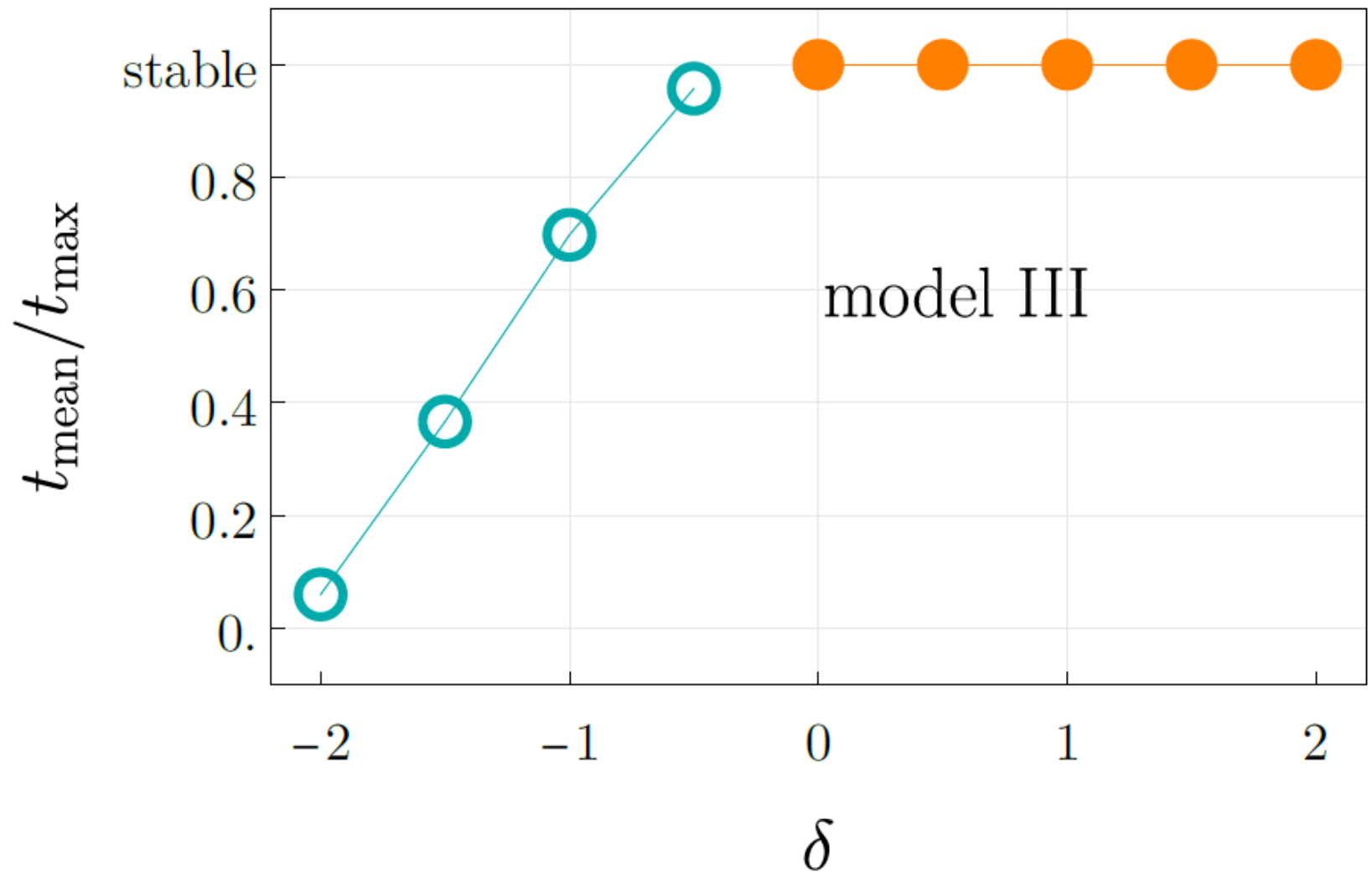
Numerical checks :

$$V_{II,\delta} = \tilde{V}_{II,\delta} + \frac{1}{2} x^2 - \frac{1}{2} y^2$$

$$\tilde{V}_{II,\delta} = (1 + x^2 y^2)^{\frac{1}{2} - \delta}$$



Numerical checks : $V_{III,\delta} = \tilde{V}_{III,\delta} + (x^2)^{2+\delta} - (y^2)^{2+\delta}$
 $\tilde{V}_{III,\delta} = x^2 y^2$



VI. Conclusions

- A mechanical ghost can interact classically stably with a positive energy degree of freedom

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See Aaron's talk

Thank you for your attention !